

# A new conceptual picture of the trade-wind transition layer\*

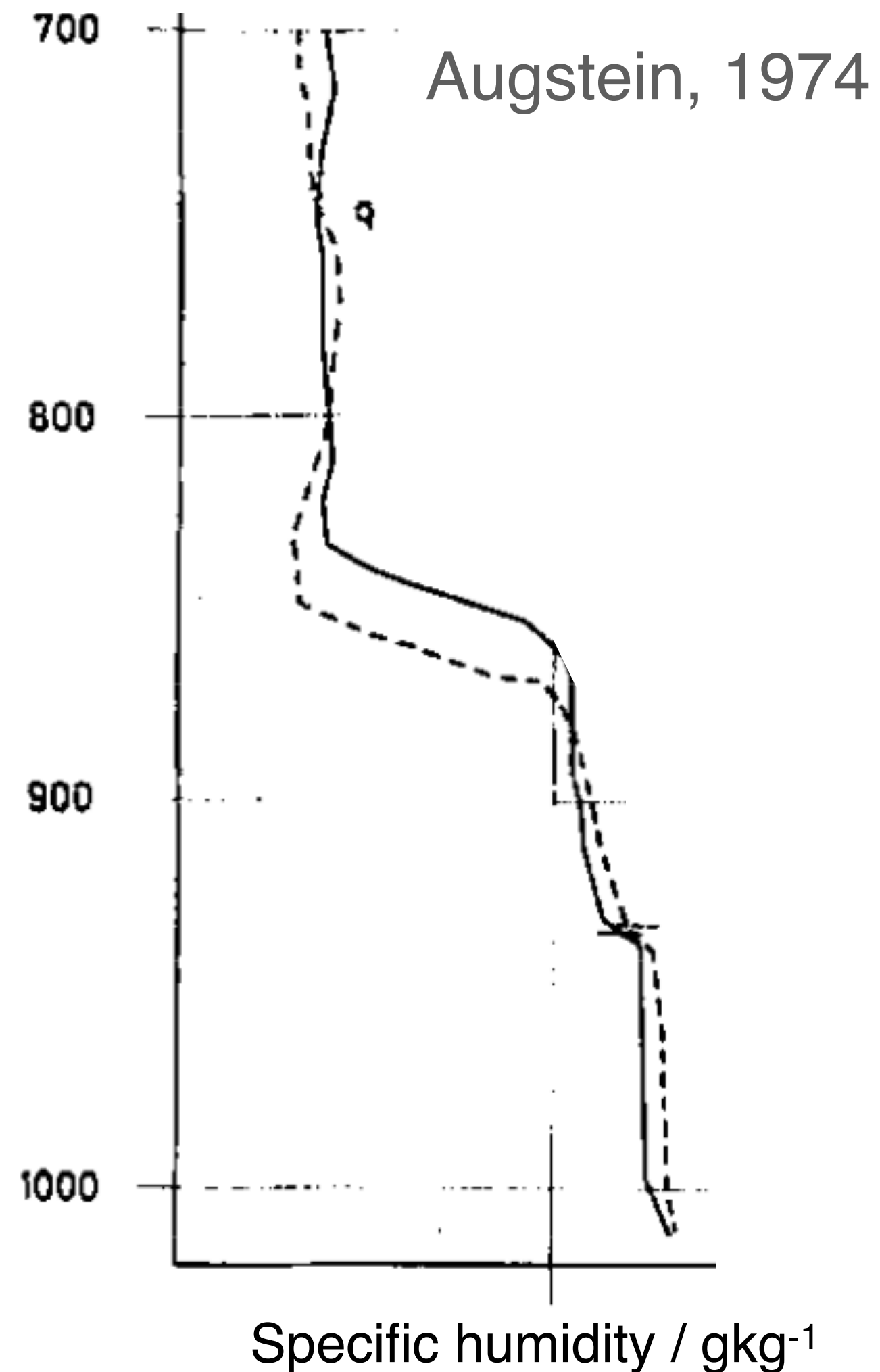
Anna Lea Albright<sup>1</sup>, Bjorn Stevens<sup>2</sup>, Sandrine Bony<sup>1</sup>, Raphaela Vogel<sup>3</sup>

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3. University of Hamburg, Germany

\*In review at JAS

# Trade-wind atmosphere has characteristic vertical structure

e.g., Malkus, 1958, Augstein, 1974, Yin & Albrecht, 2000



Studying vertical structure teaches us about physical processes producing this structure



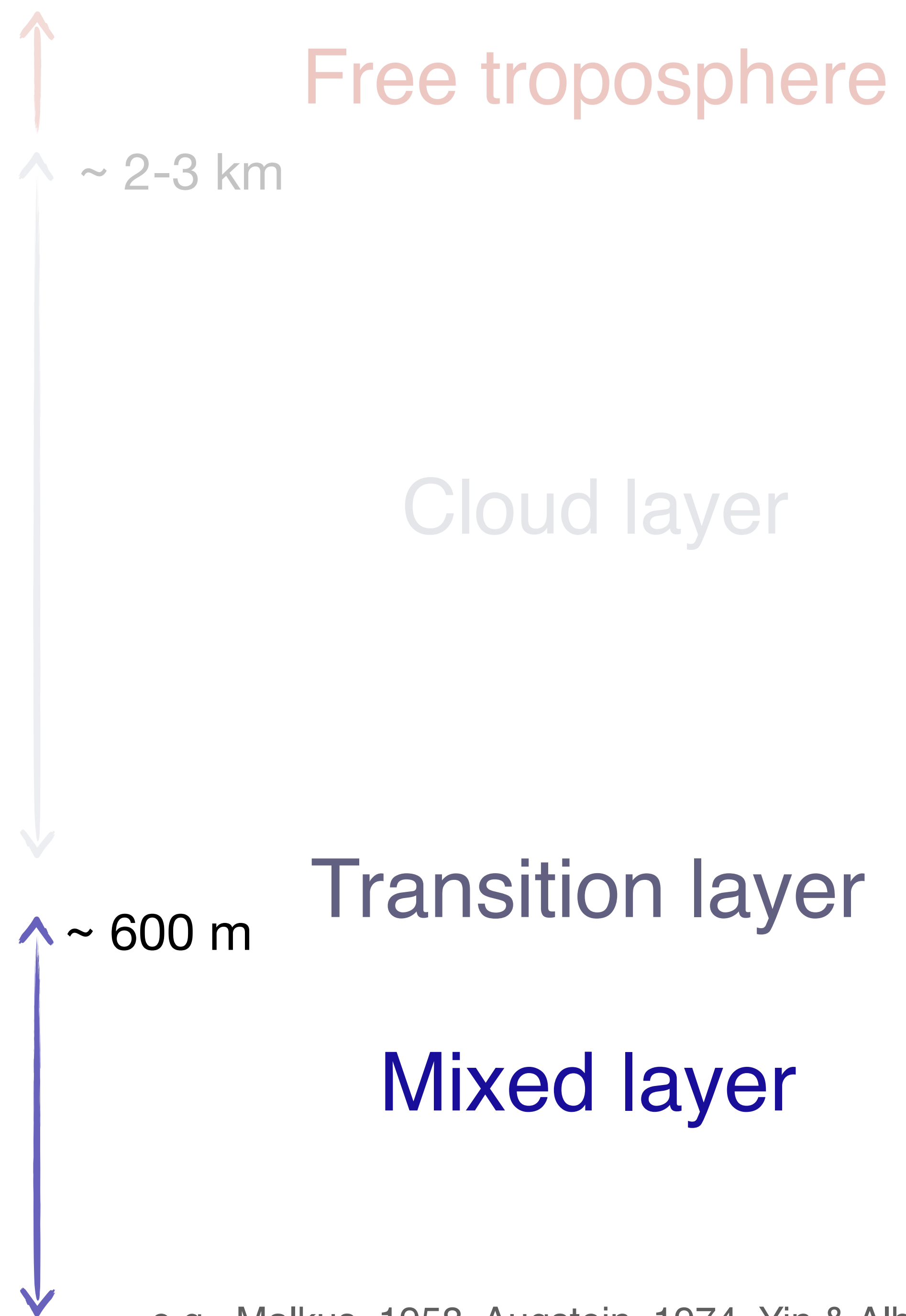
**Free troposphere**

~ 2-3 km

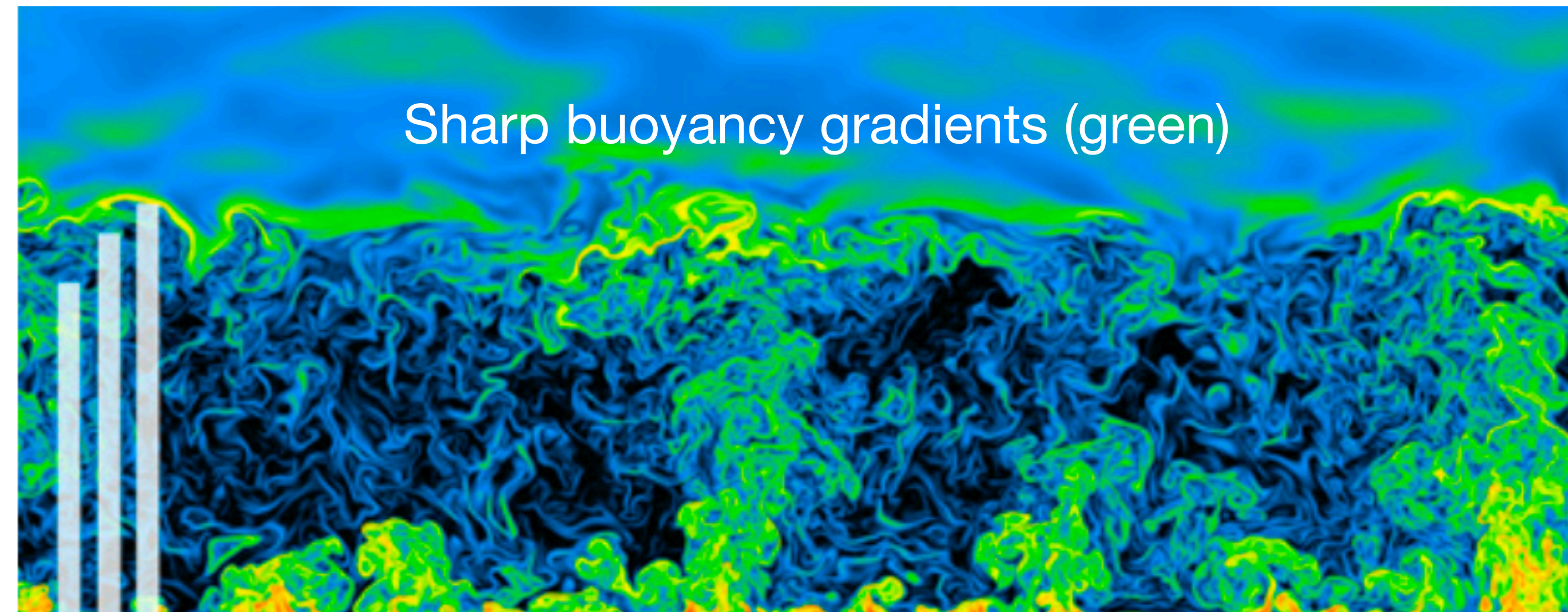
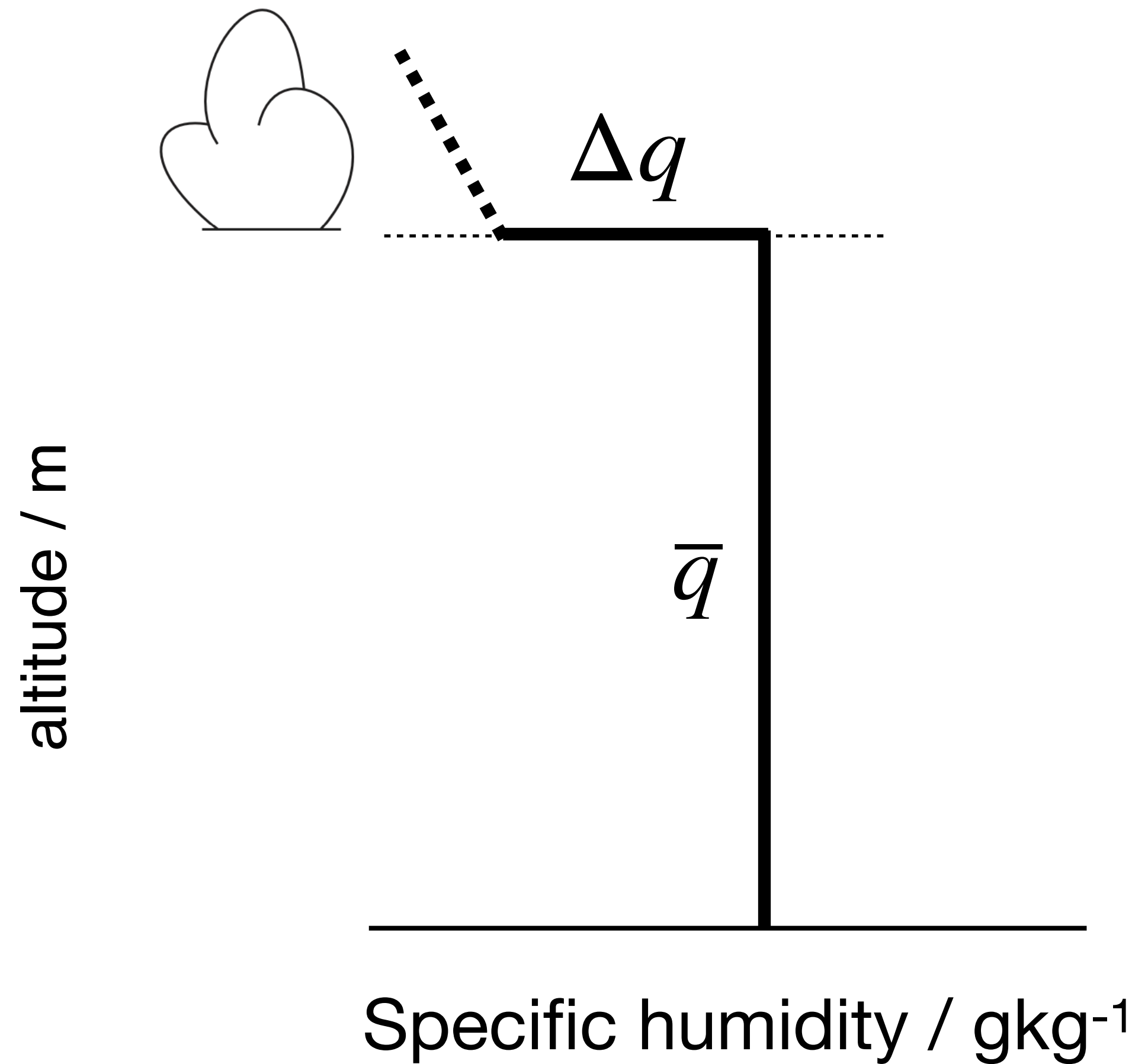
Cloud layer

~ 600 m

**Mixed layer**



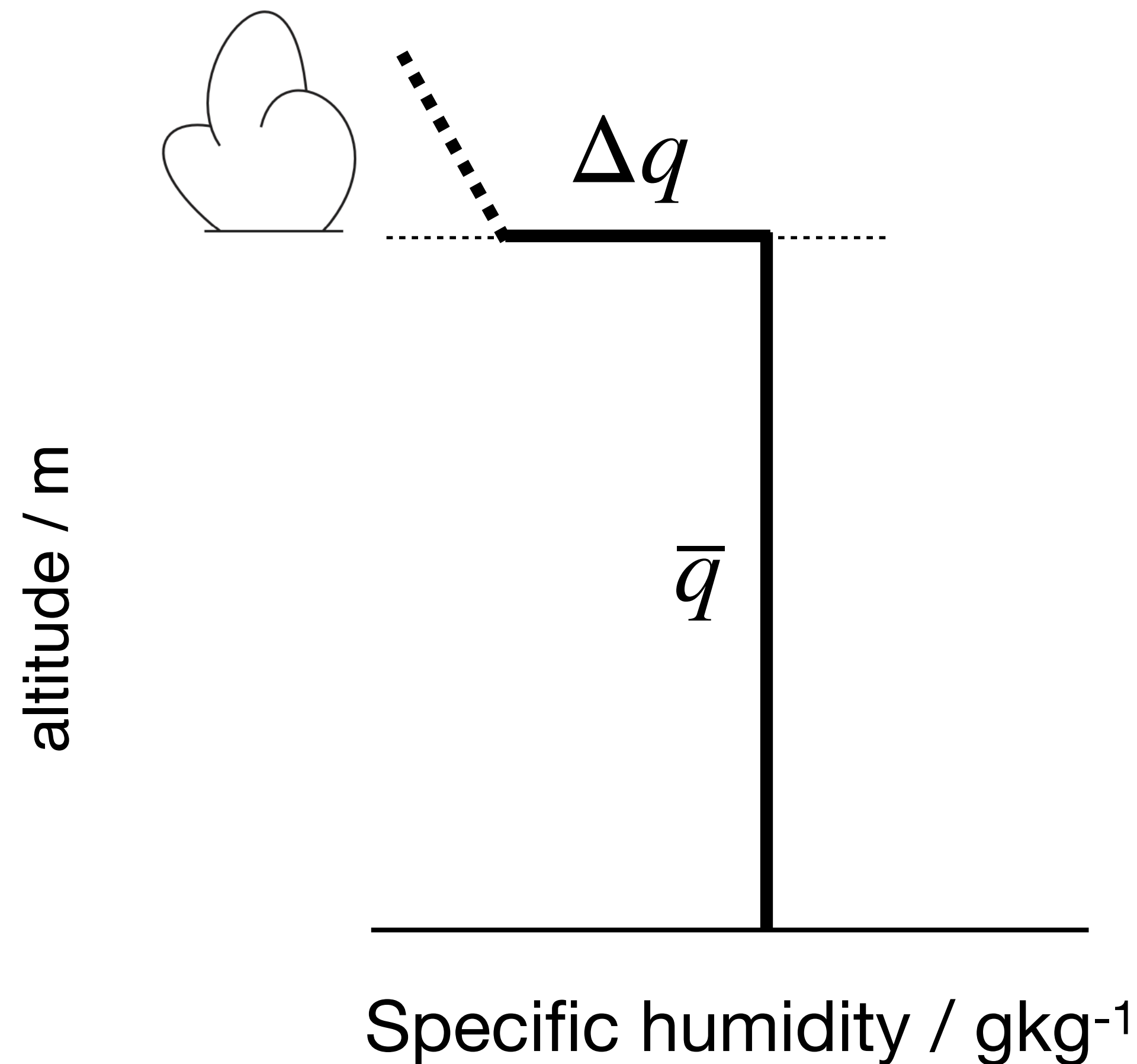
# Previous views of the transition layer (sharp gradients), In analogy with stratocumulus regimes or dry convective layers



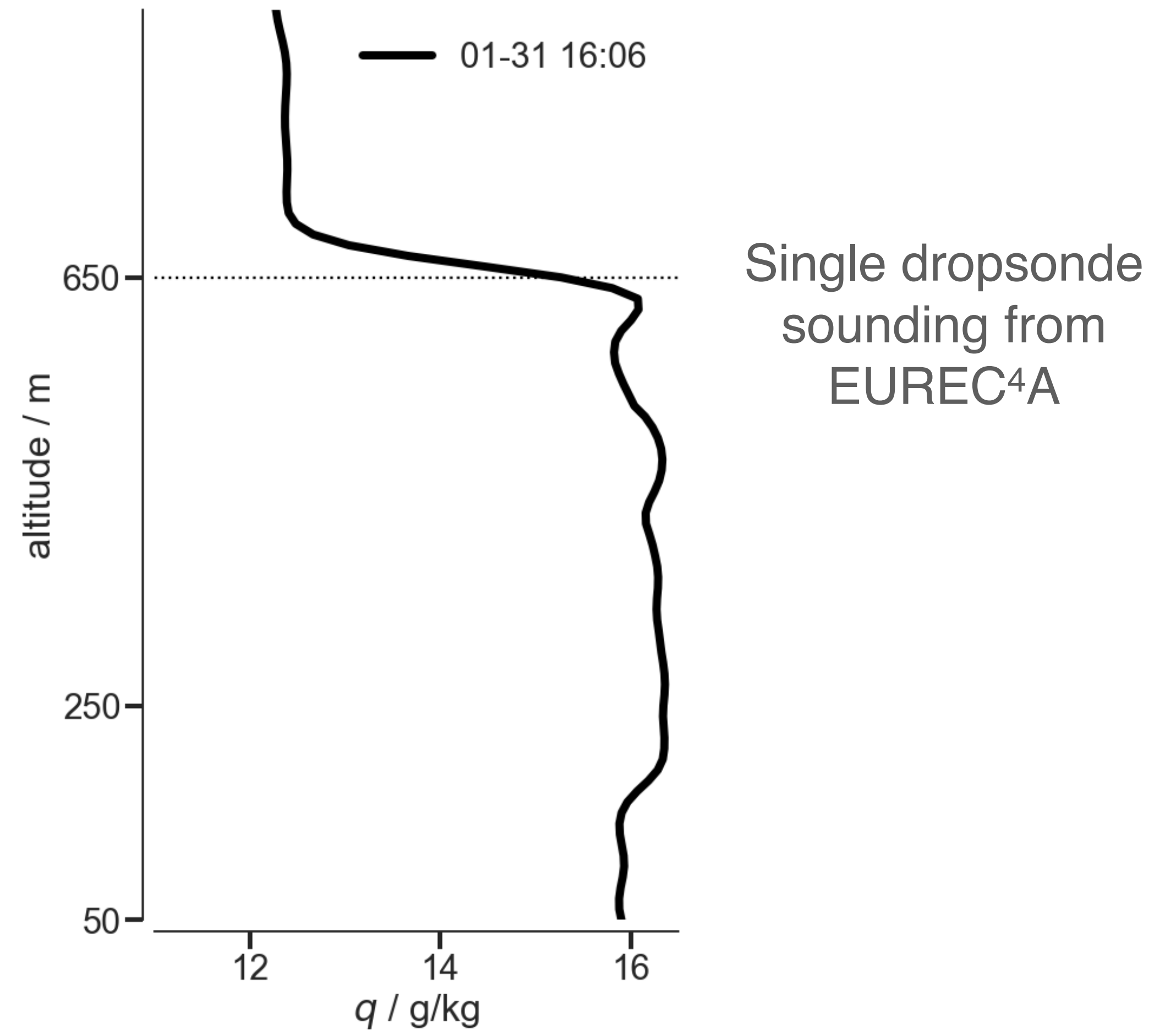
Direct numerical simulation results  
reproduced from Garcia & Mellado, 2014

e.g., Lilly, 1968, Arakawa, Schubert, 1974,  
Betts, 1976, Albrecht, 1979, Stevens 2006

# How representative is this cloud-free structure in the trades?



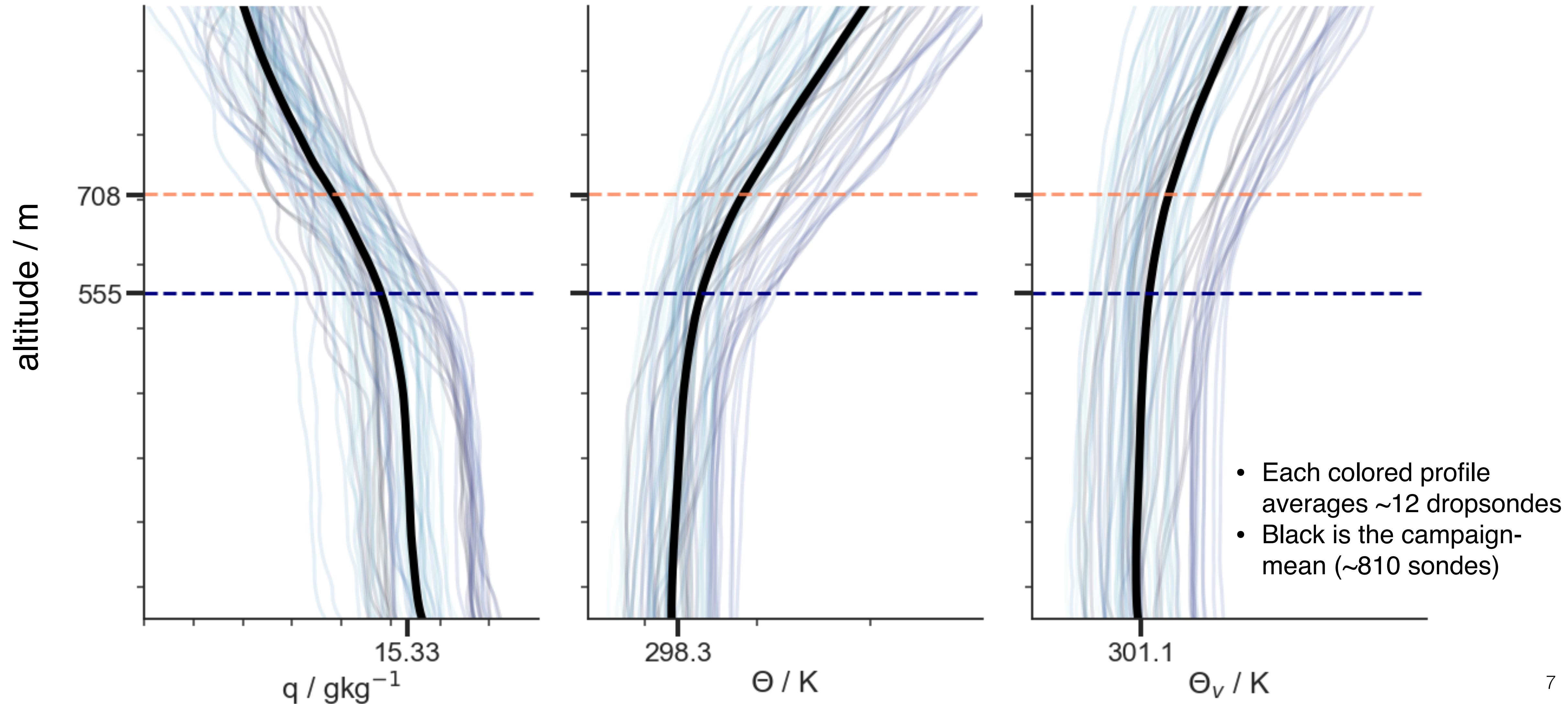
e.g., Lilly, 1968, Arakawa, Schubert, 1974, Betts, 1976, Albrecht, 1979, Stevens 2006



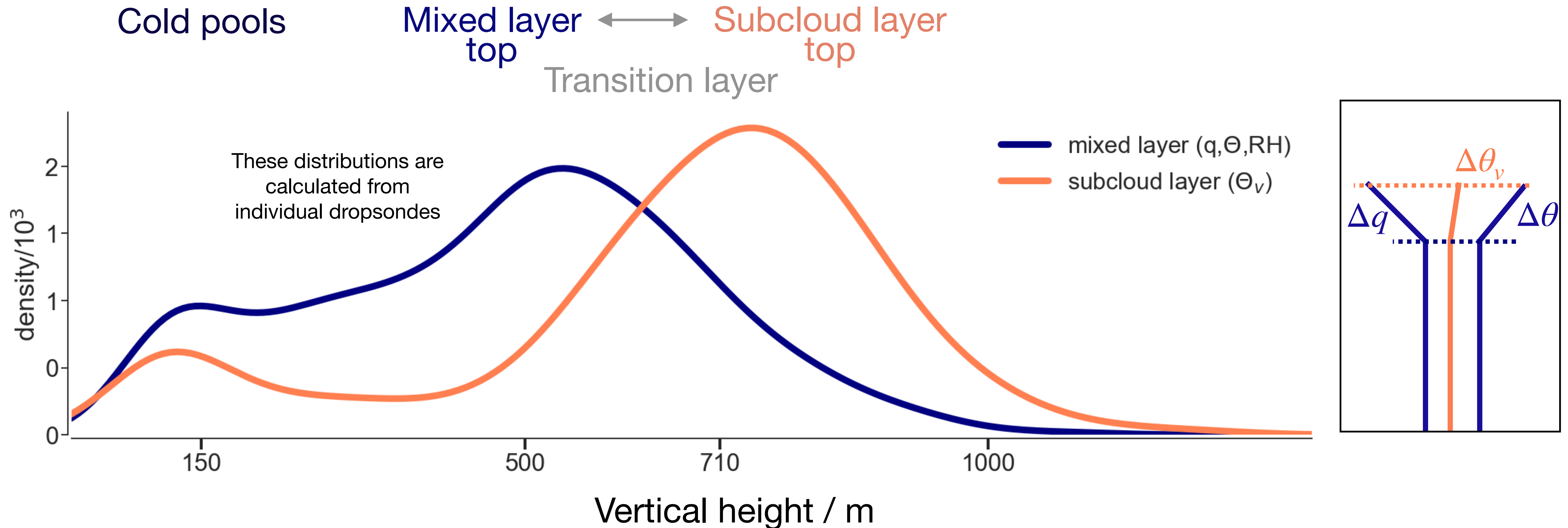
Cloud-base cloud fraction measured from a lidar-radar synergy is  $5.3 \pm 3.2\%$  (Bony et al., 2022), so it could be reasonable to assume that the cloud-free transition layer structure is the baseline

# Most of the time, vertical gradients are smoother. How to define the transition layer from thermodynamic profiles?

Apply height definitions, e.g., Canut et al., 2012, to observed thermodynamic profiles



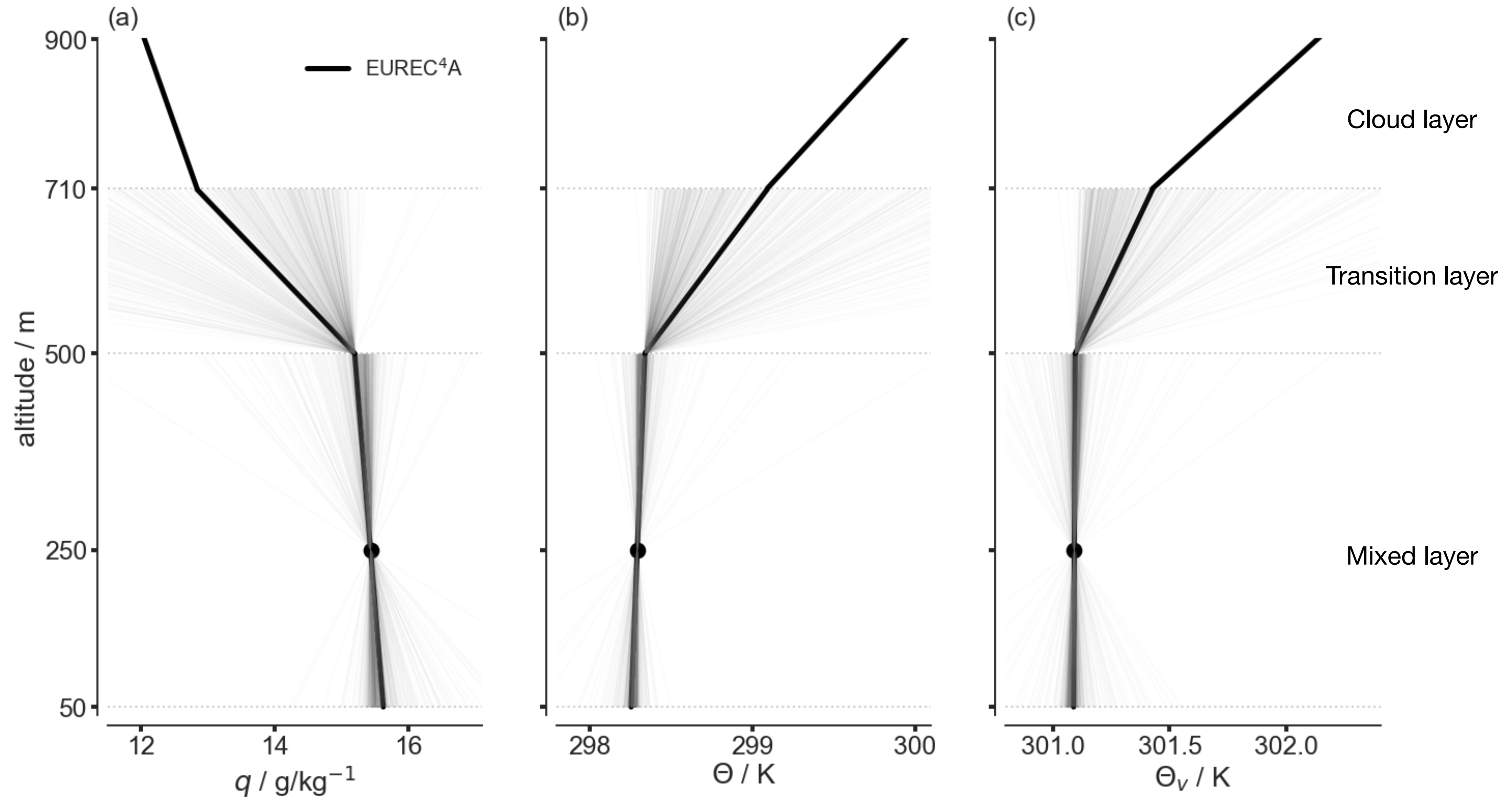
# Evidence for $\sim 150 \pm 50$ m thick transition layer between mixed and subcloud layers



- Associate transition layer with region between mixed layer and subcloud layer tops that is better mixed in  $\theta_v$  than  $\{q, \theta\}$  individually
- Transition layer evident in both individual and aggregated soundings

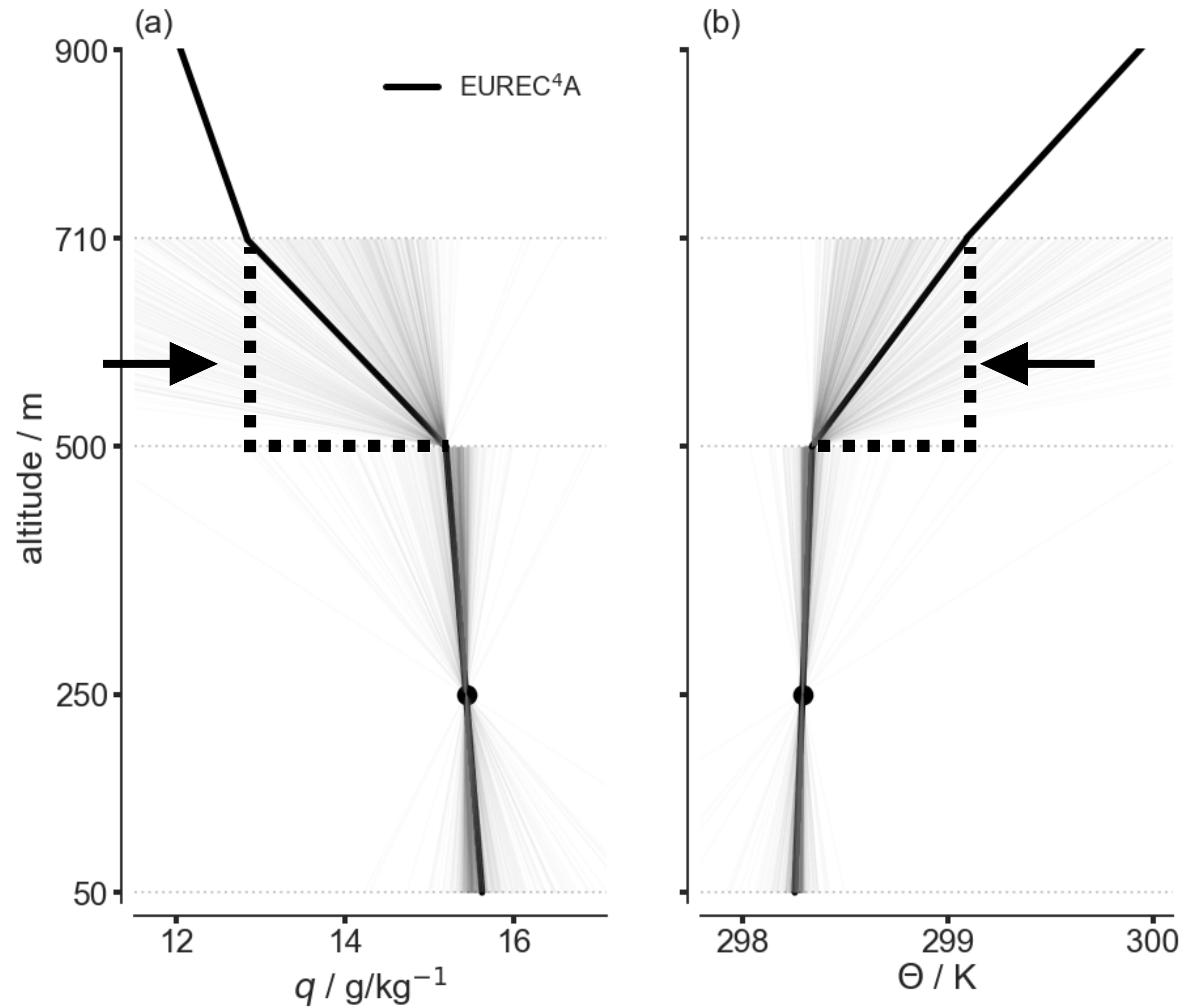


# Transition layer thermodynamic gradients differ from those in mixed and cloud layers (810 dropsonde profiles composited by layer; mean depths)

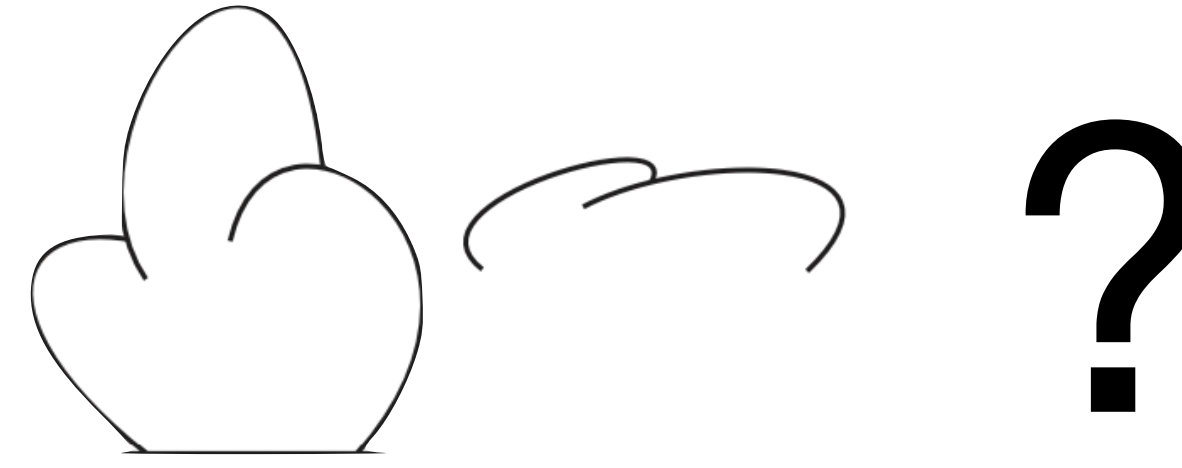
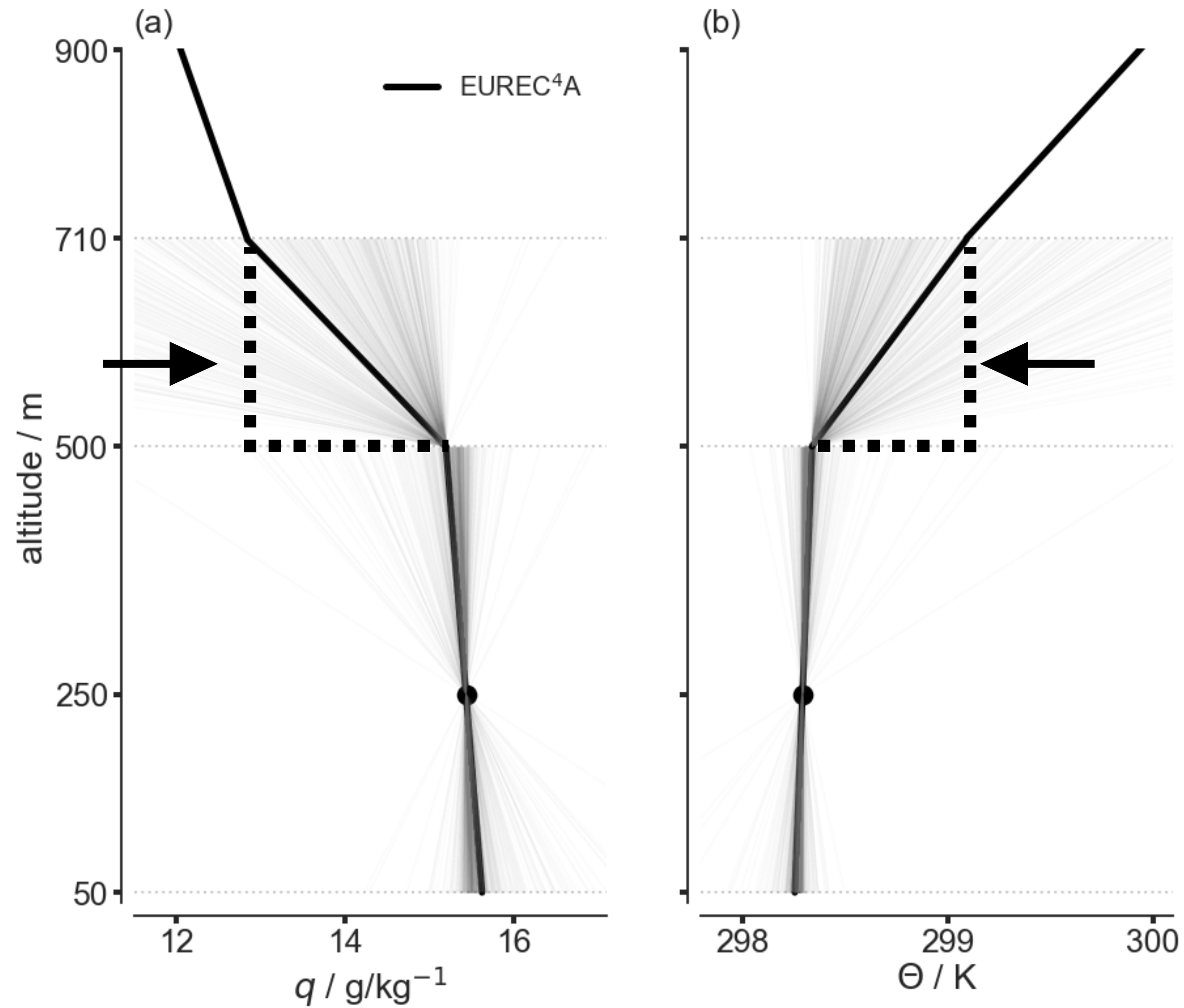


What produces the observed transition layer structure that differs from jump-like structure?

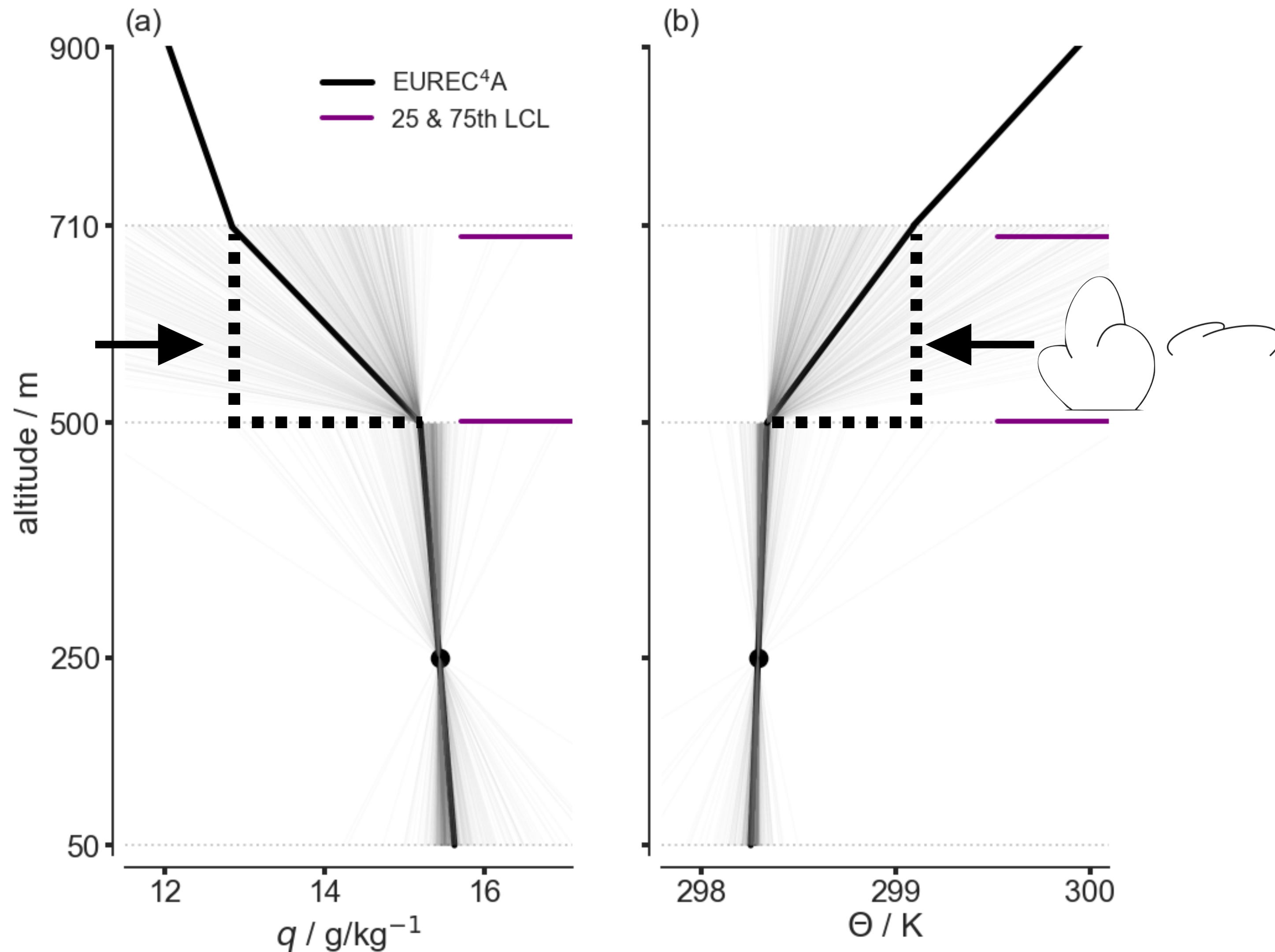
# Observations are moister and colder than jump-like structure would suggest



# Do clouds dissipating (moistening and cooling) cause smoother vertical gradients in transition layer?



# Majority of cloud bases form within transition layer



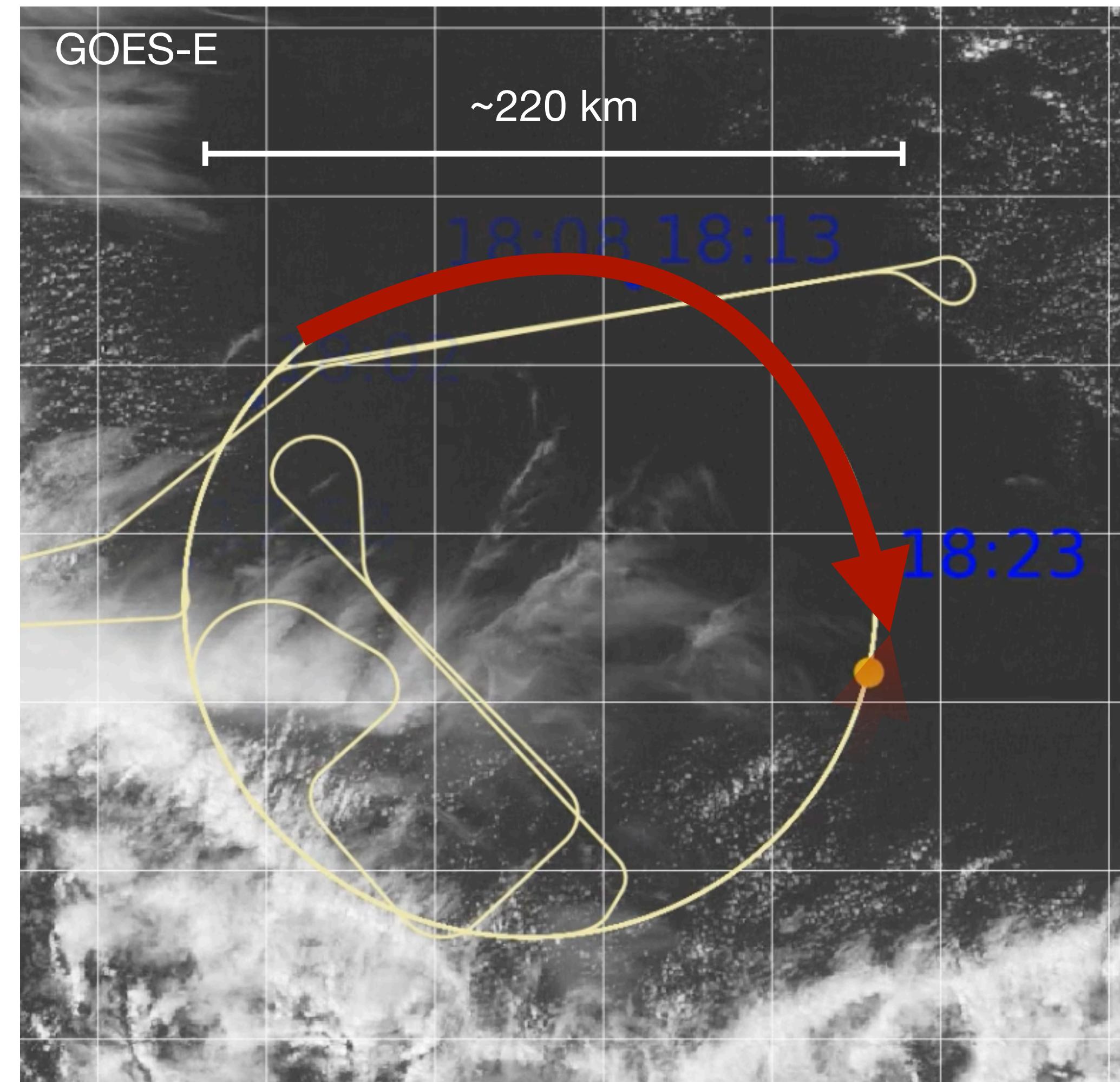
- About 60% of cloud bases (estimated from three-hourly ceilometer data) and ~75% LCLs from dropsondes also below transition layer top
- Another way of defining the transition layer is between cloud base and level of maximum cloud-base cloudiness (cf. Vogel et al., 2022)

Cf. cloud based above the transition layer in Malkus, 1958; Augstein, 1974; but within transition layer in Neggers et al., 2009, Gentine et al., 2013

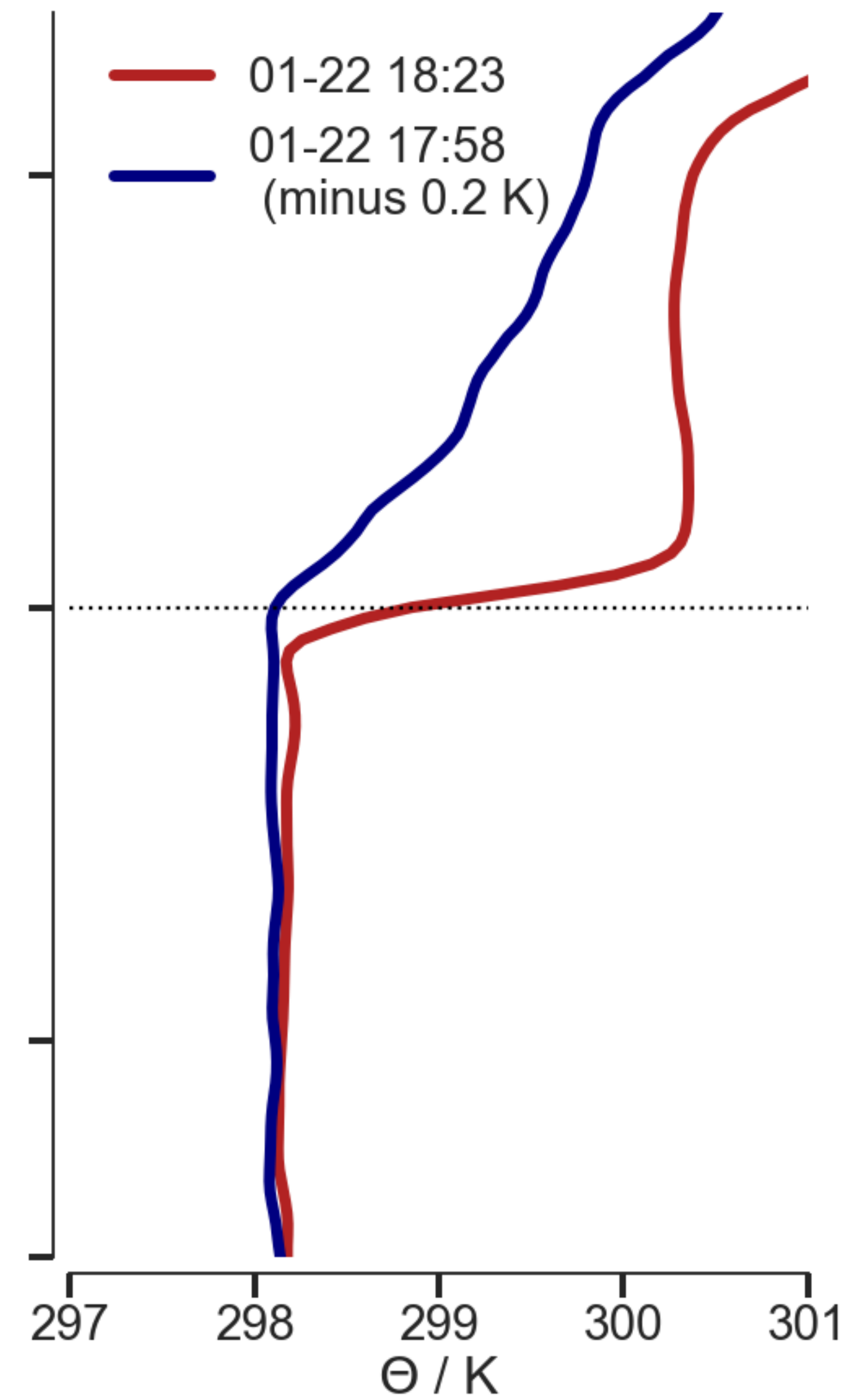
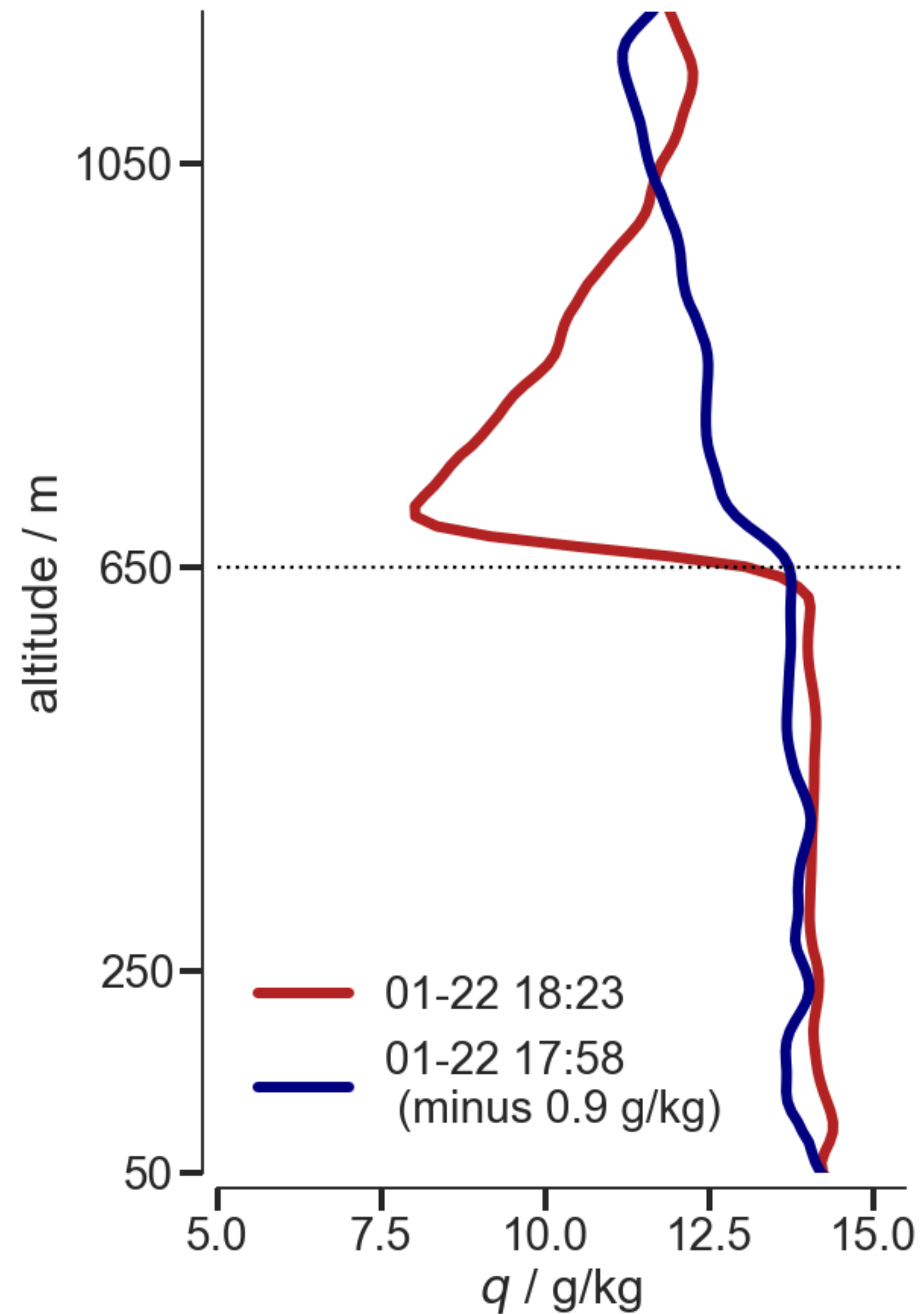
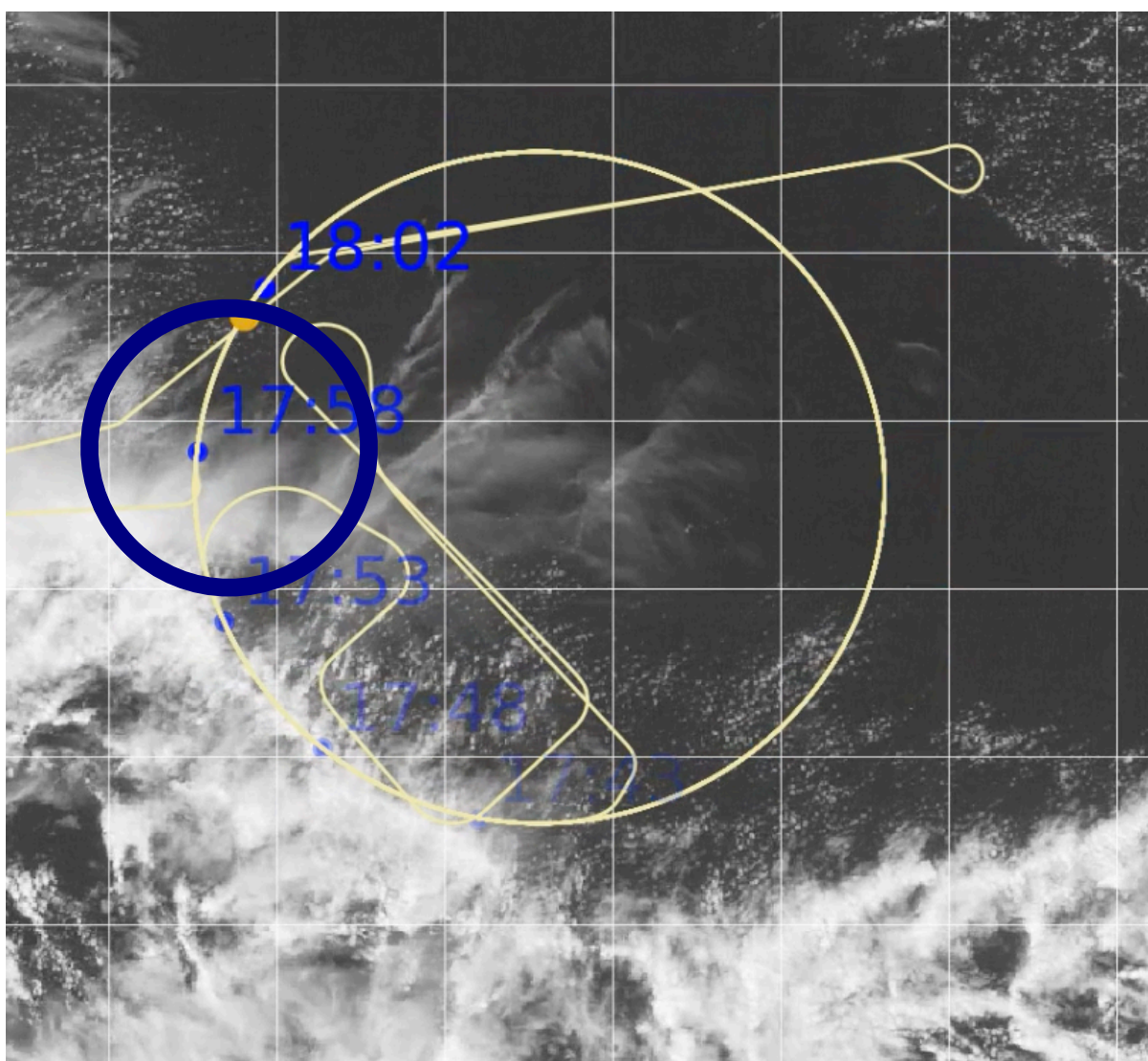
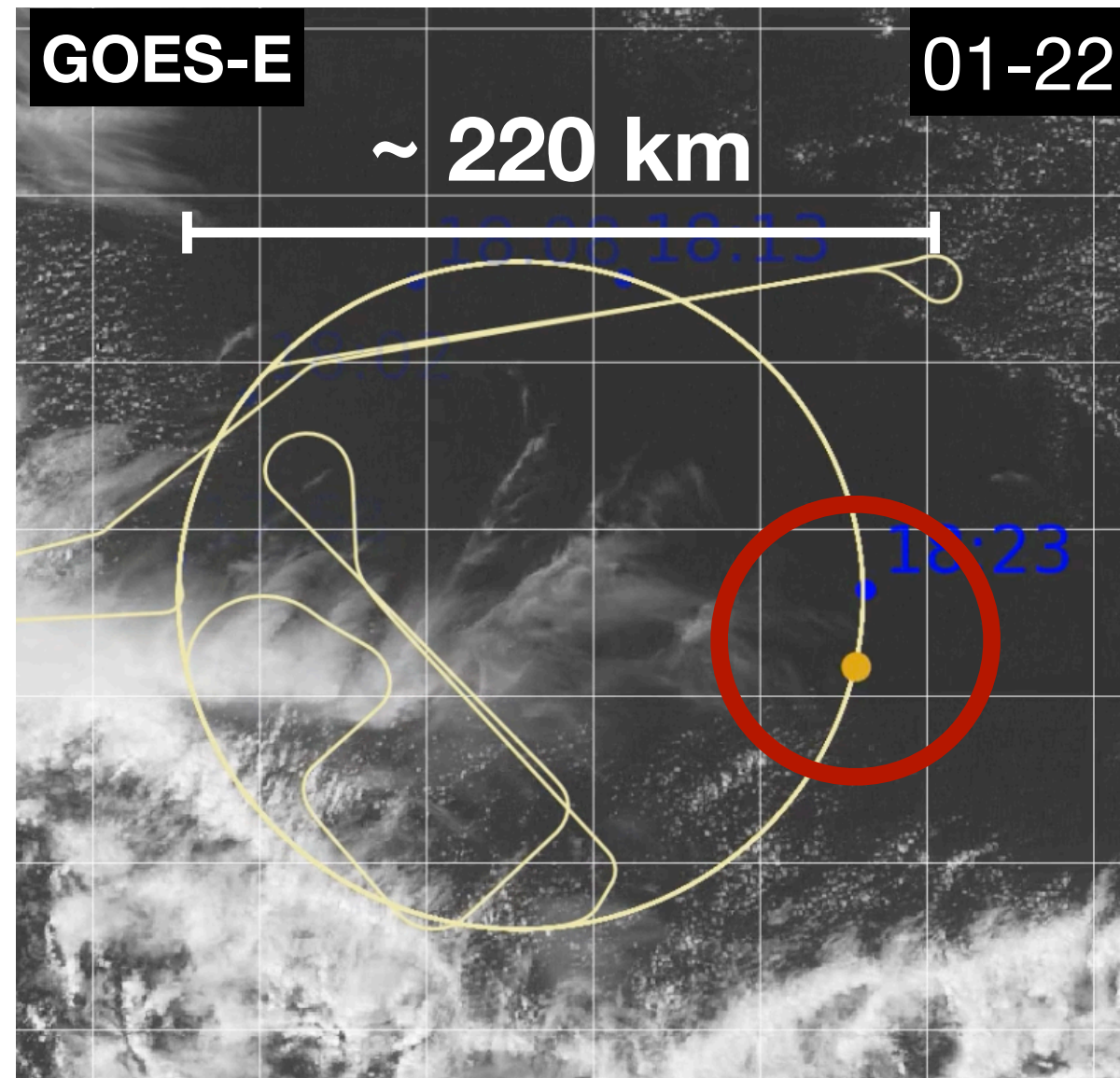
# Test using denial of mechanism — examine transition layer structure in **large clear-sky areas**

defined:

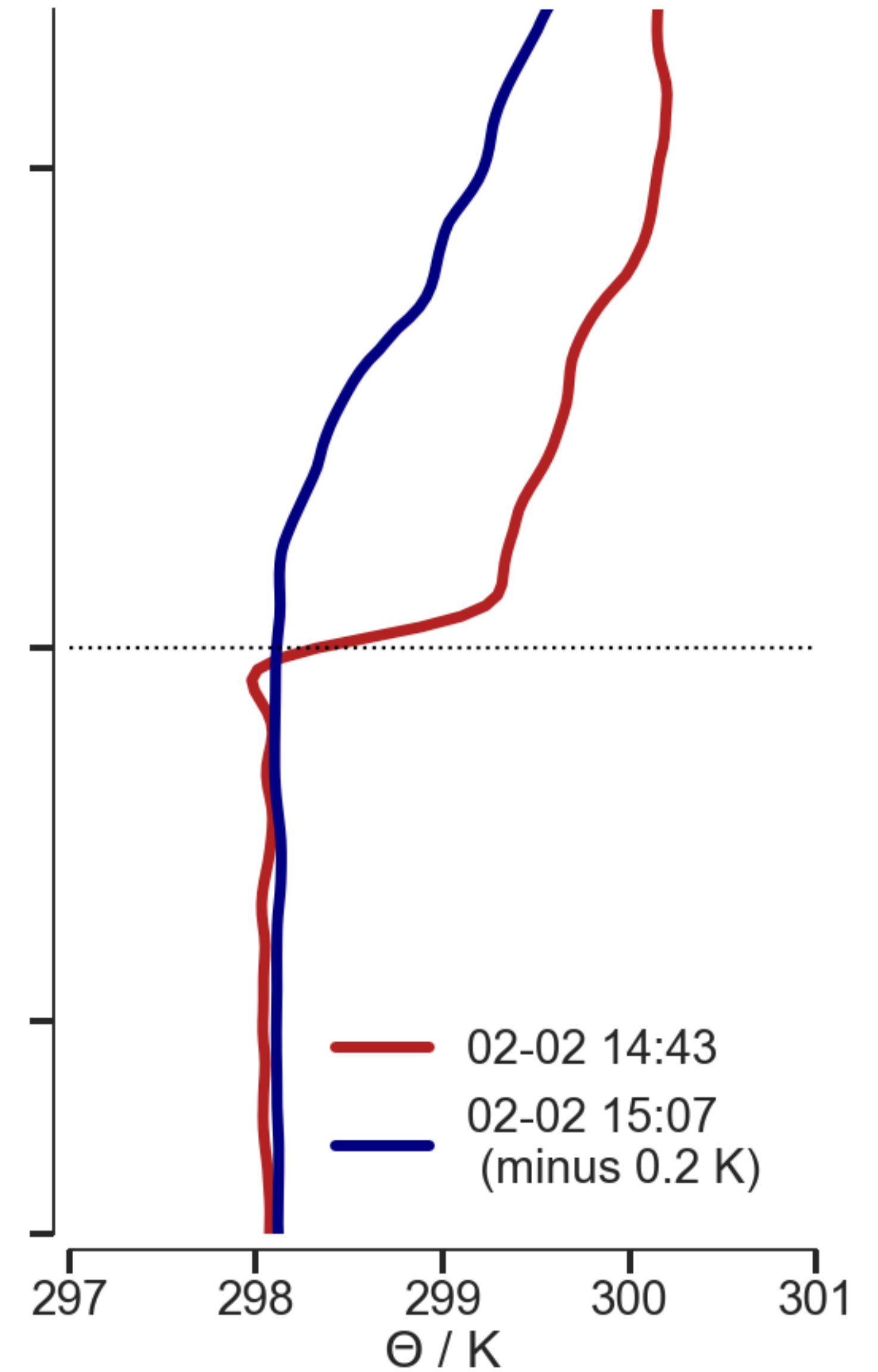
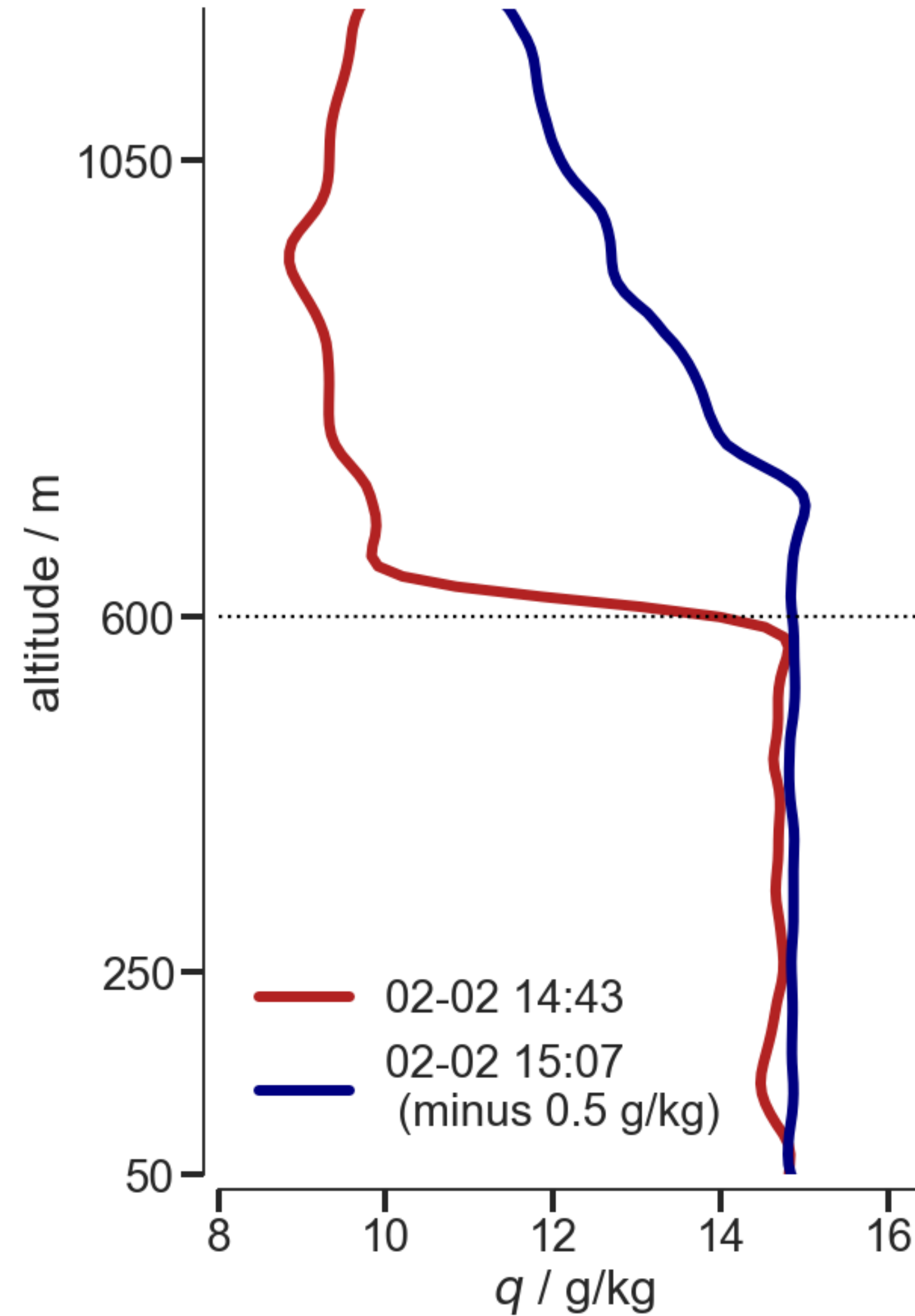
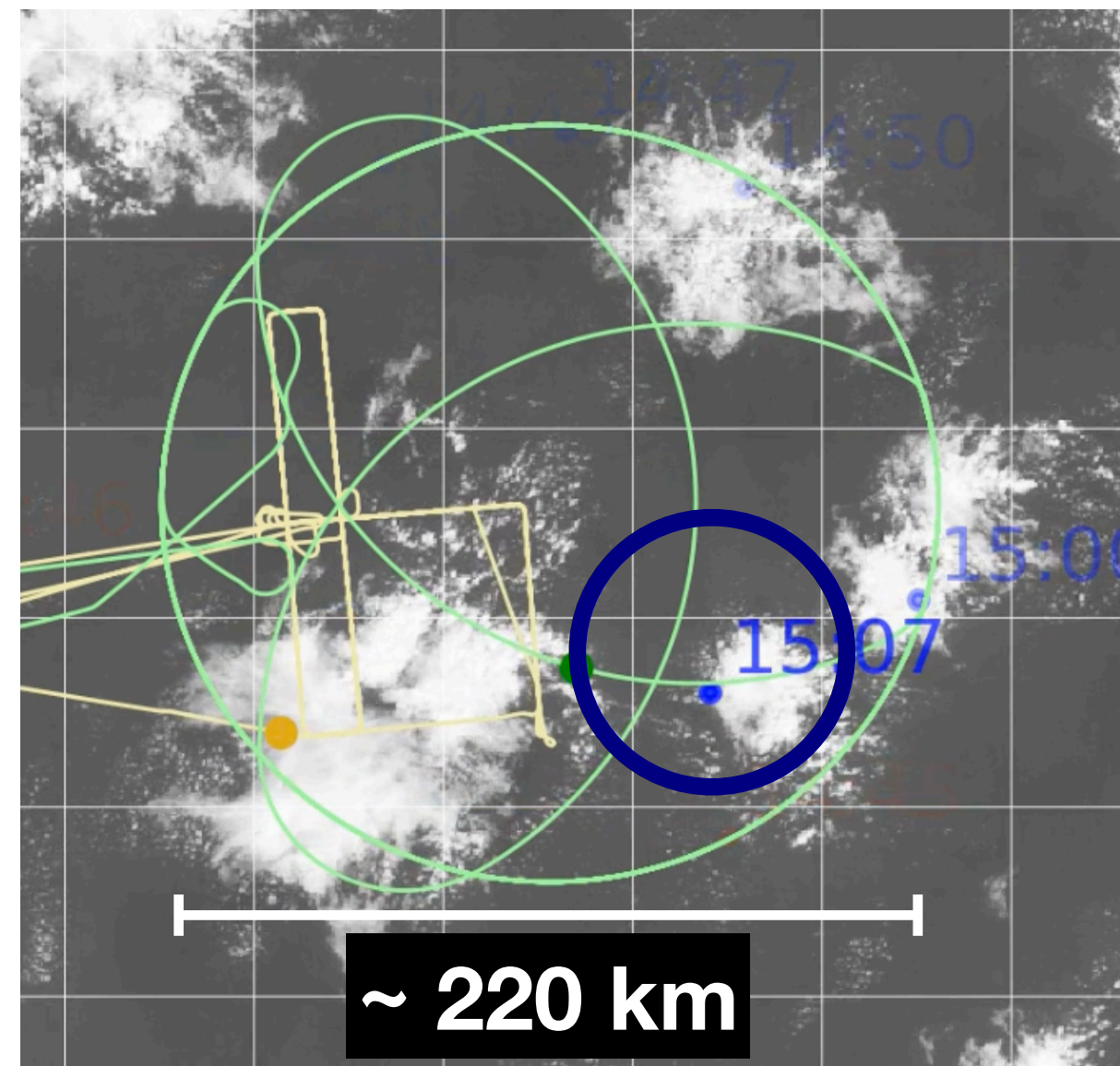
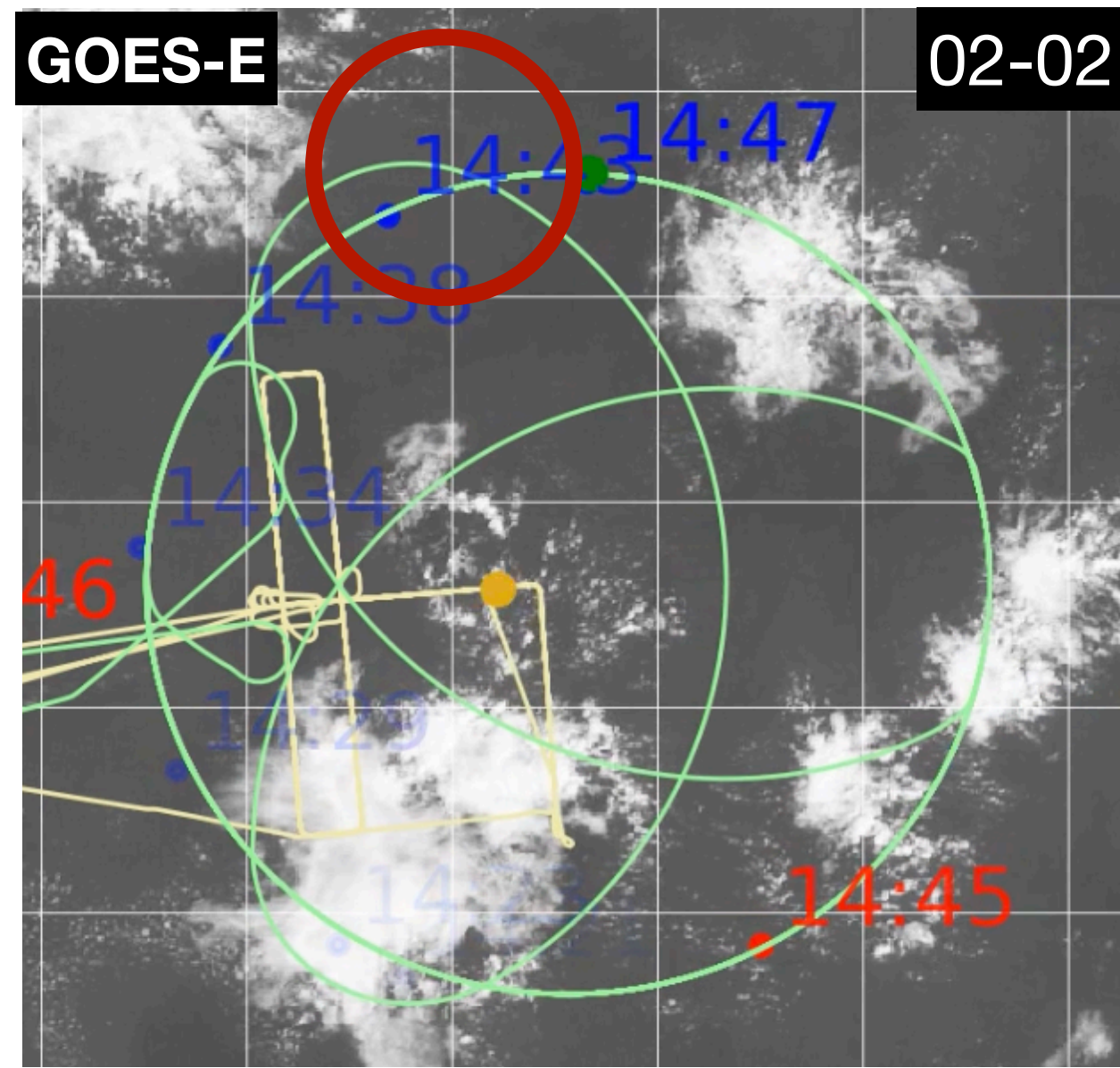
1. by eye, within patterns of cloud organization, identified from **satellite images**
2. as cloud-free over about 200 km of flight path (~15 minutes of flying) using **cloud flags and cloud top heights from WALES lidar**
3. using **large-eddy simulation output** from Dauhut et al., 2022



# Sharp gradients exist, but rarely, and in large clear-sky areas



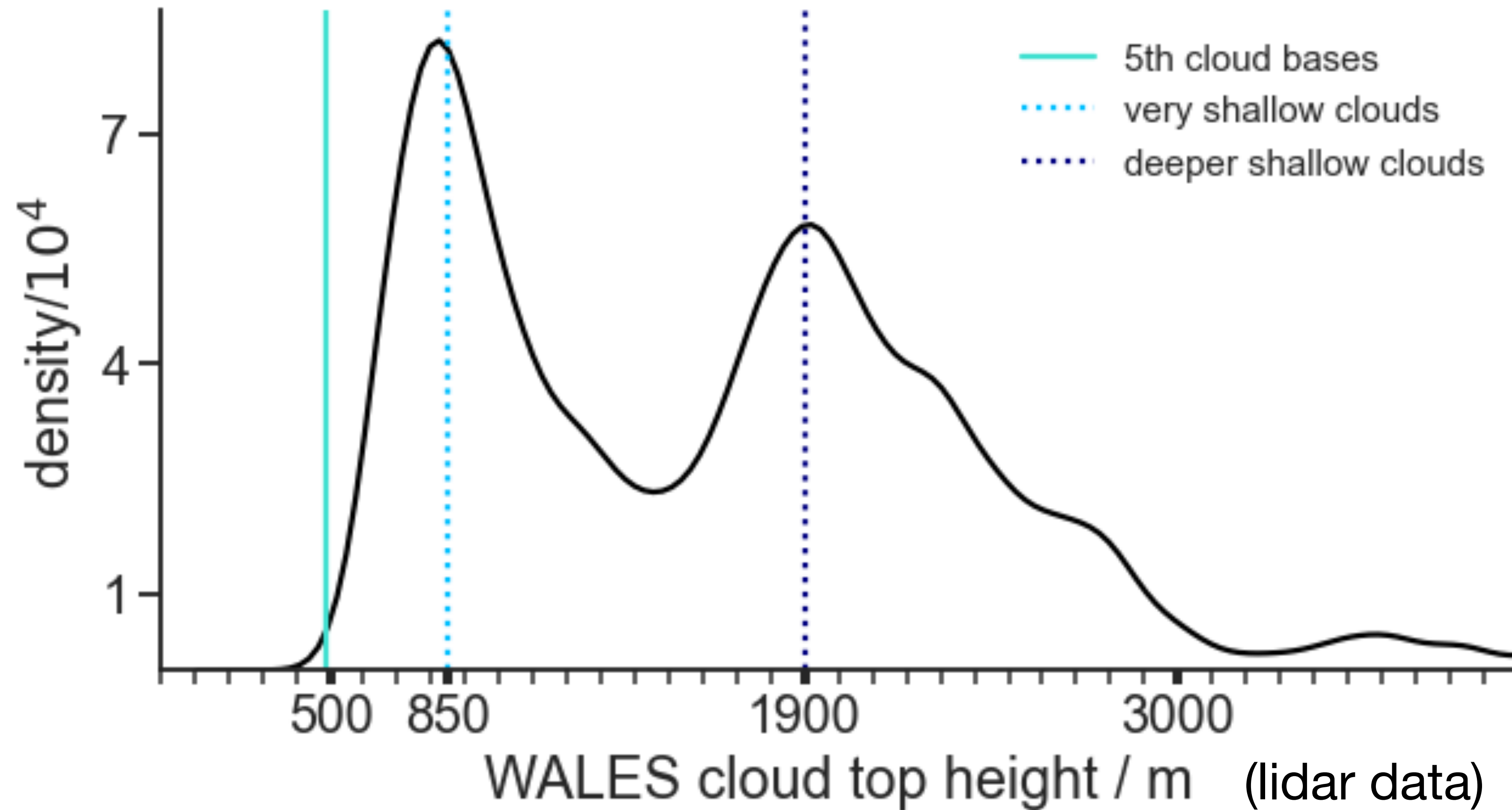
# Sharp gradients exist, but rarely, and in large clear-sky areas





1. Is the jump structure found in large clear-sky areas?
2. Is the presence of shallow clouds sufficient to smooth vertical gradients away from jump structure?

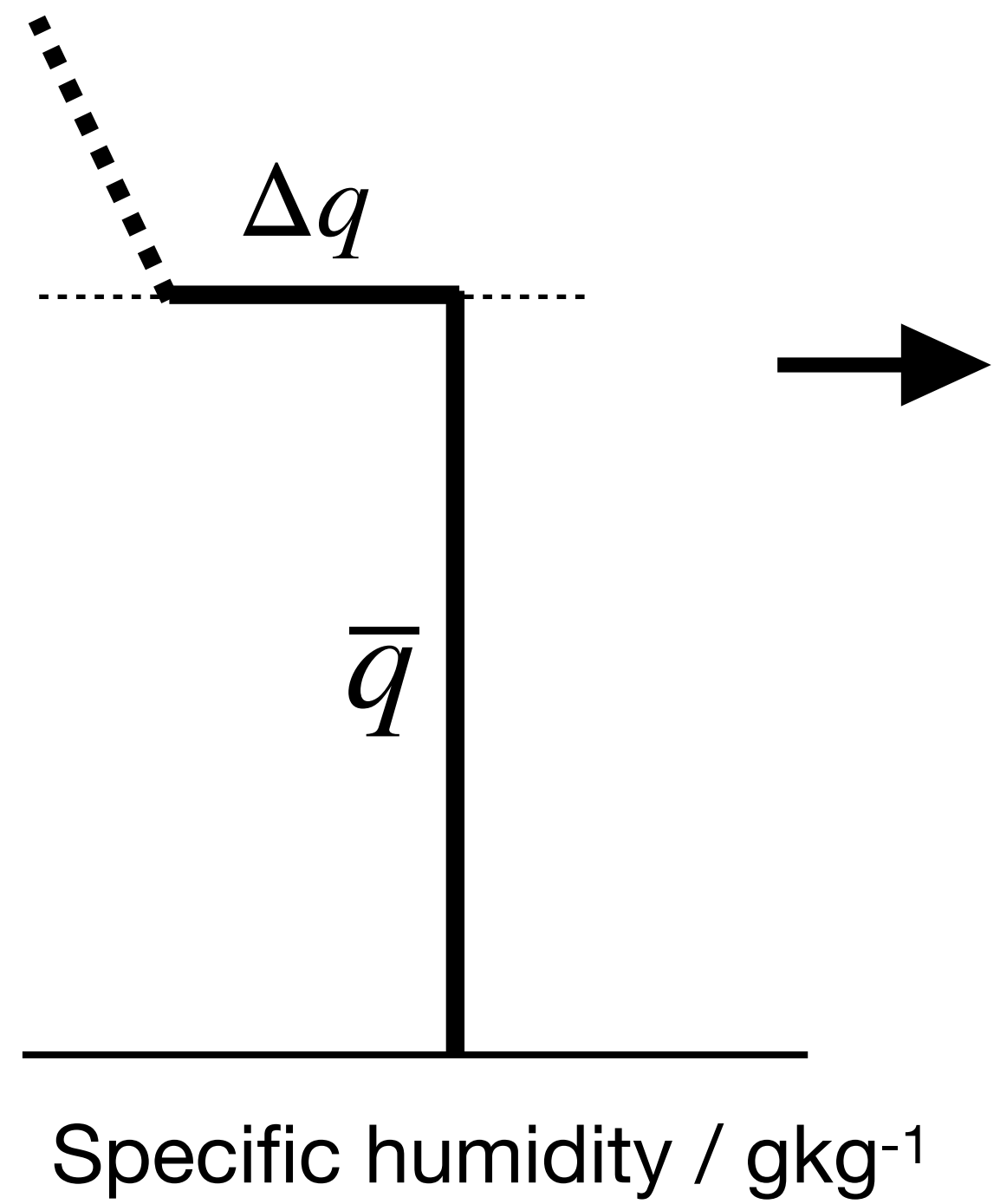
# Very shallow clouds are ubiquitous. Are they associated with smoother vertical gradients?



Cf. Two cloud populations, Genkova et al. 2012, Leahy et al., 2012, Mieslinger et al., 2019, Vial et al, 2019, Vial et al., in review

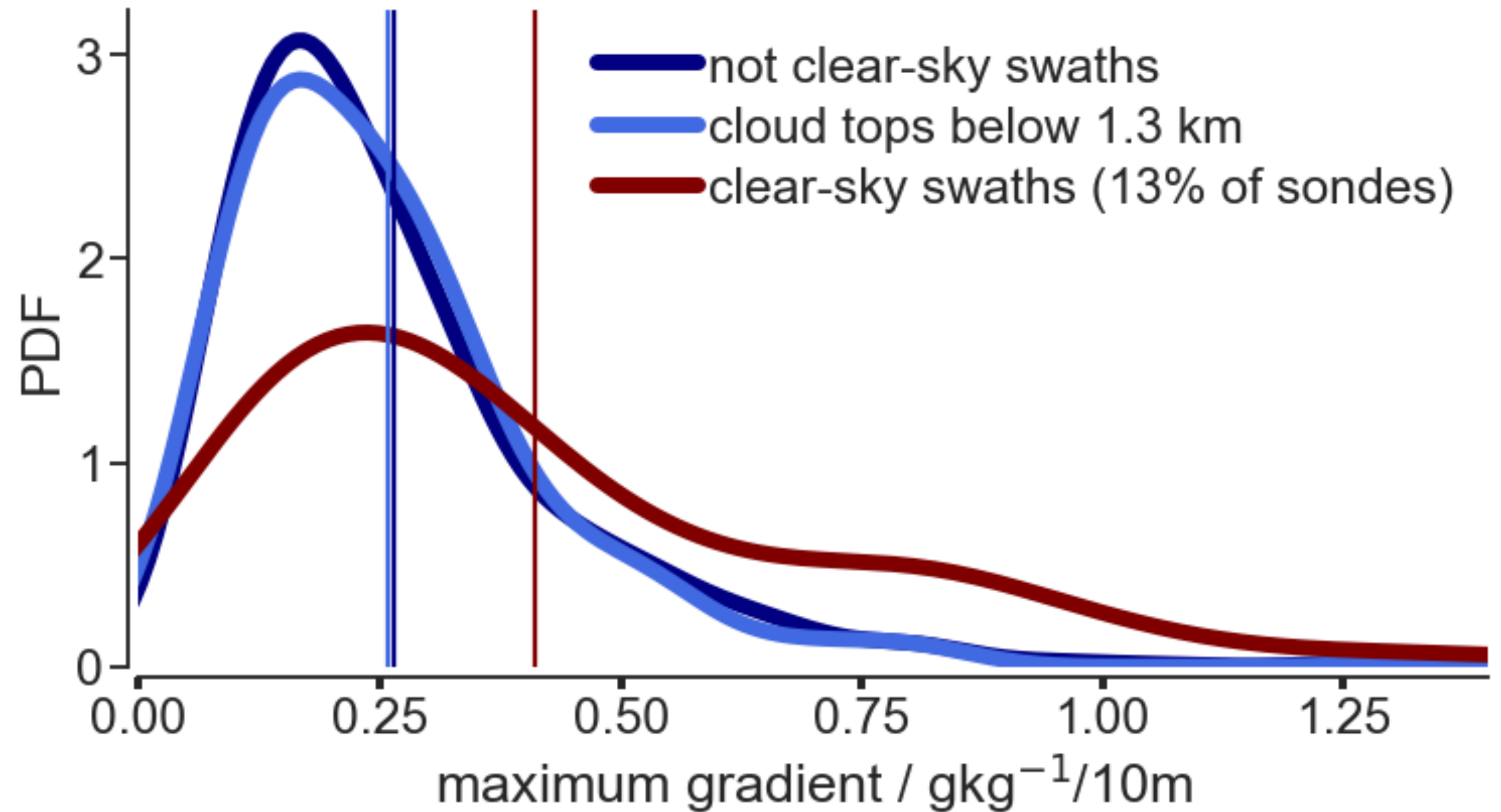
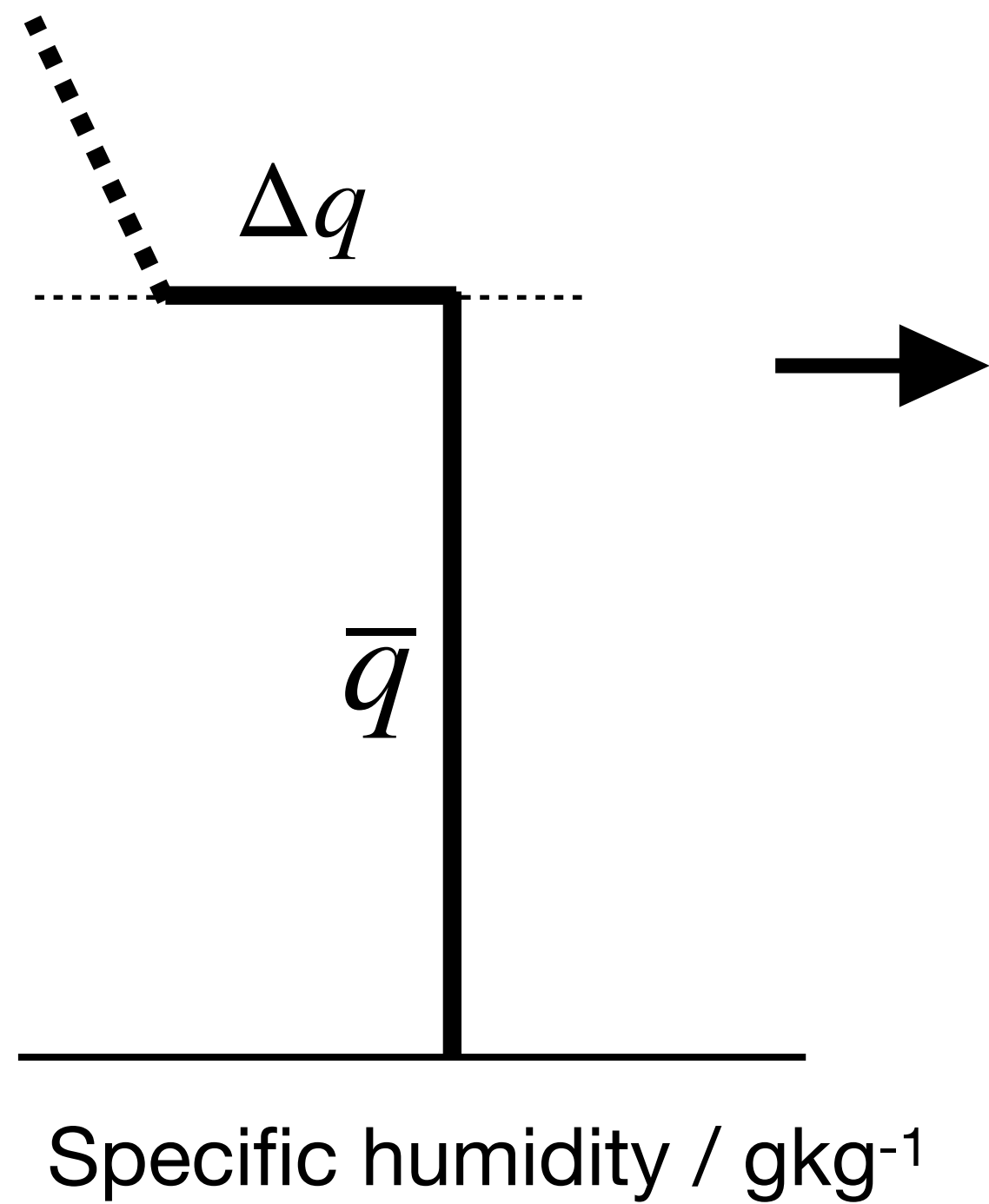
# Select sharpest vertical gradient in subcloud layer profile

Select sharpest vertical gradient below 800 m



# Large clear-sky areas (red) exhibit stronger vertical gradients

Select sharpest vertical gradient below 800 m



Also see similar distributions for LES output (from Dauhut et al., 2022)

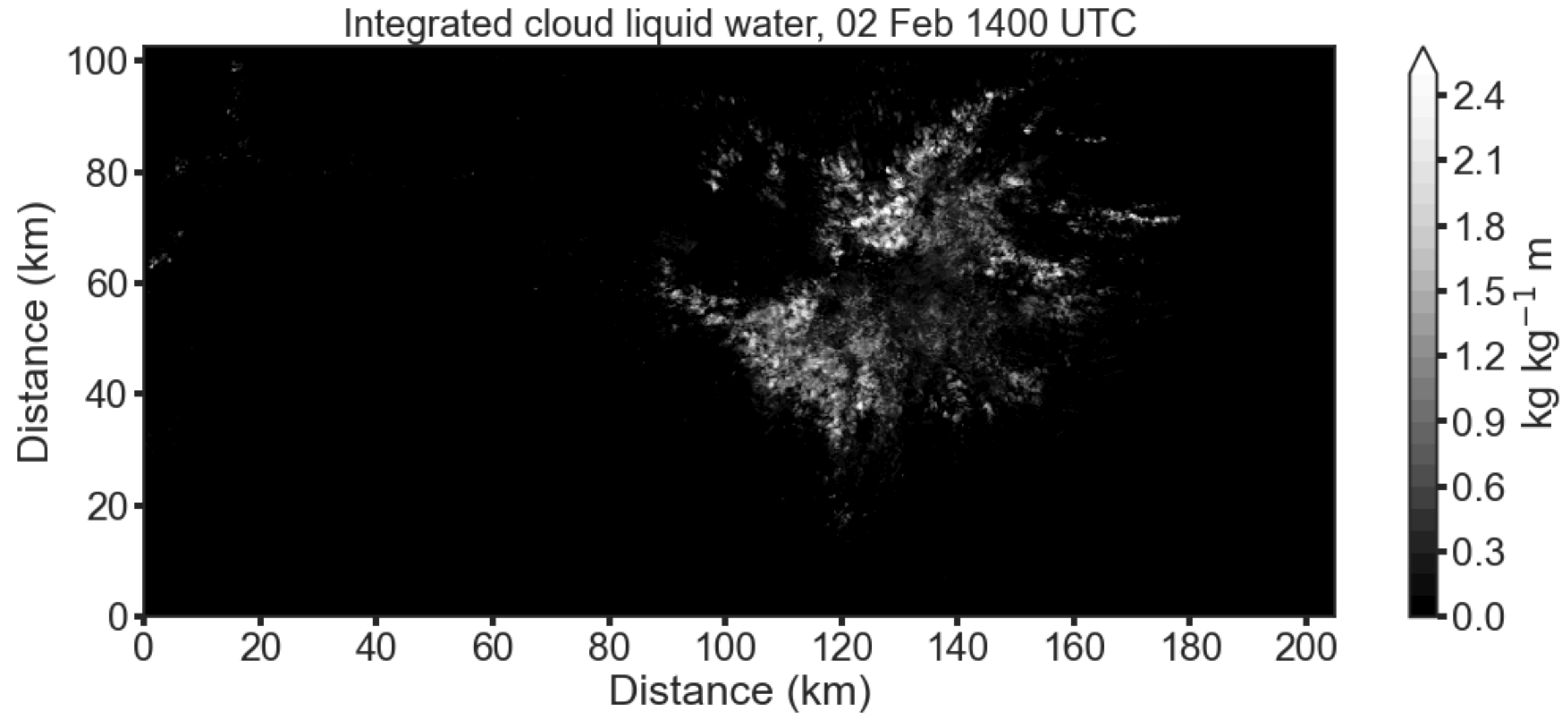
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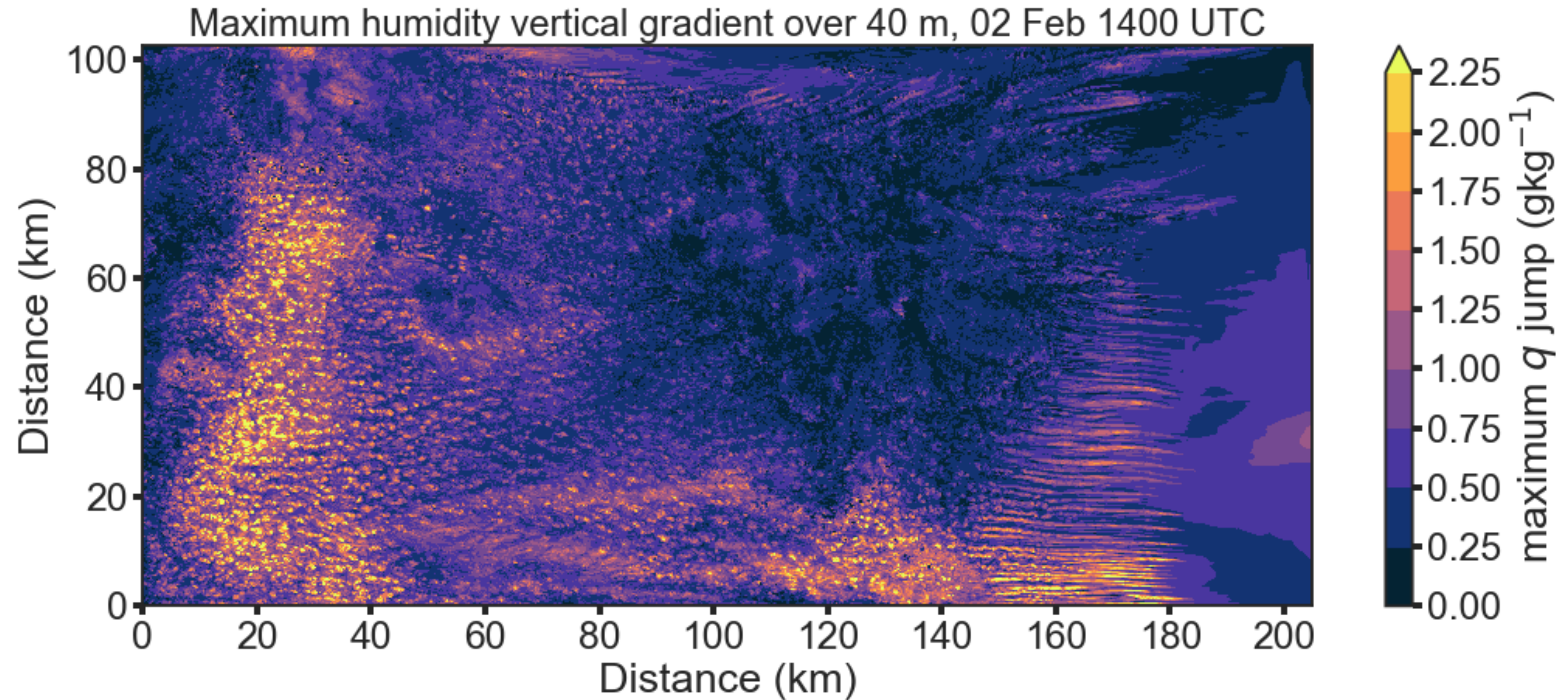
**Yes.**

# A similar picture in LES



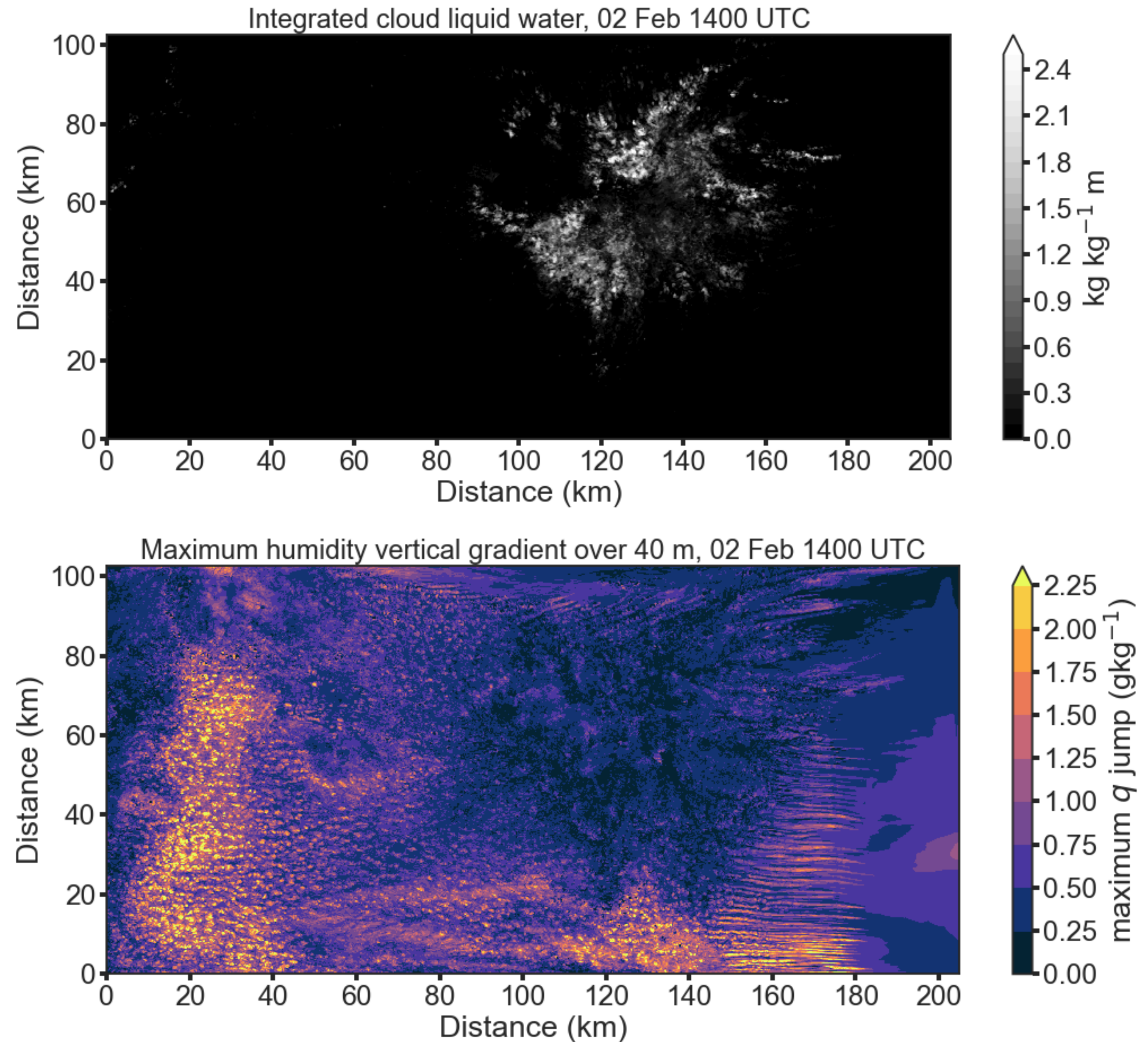
**LES simulation output from Thibaut Dauhut, 100 m (horizontal); 40 m (vertical)**  
Dauhut et al., 2022 QJRMS

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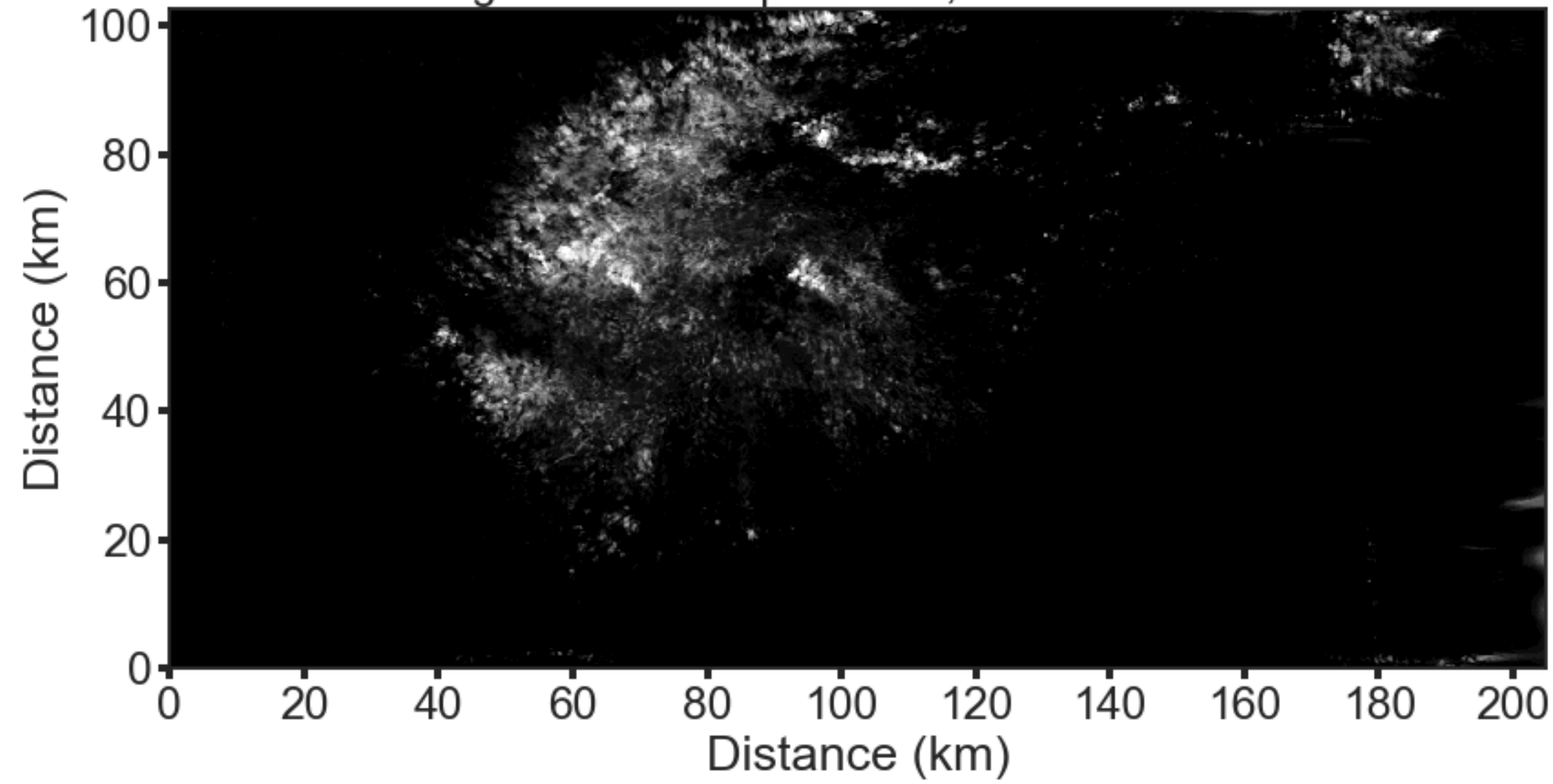
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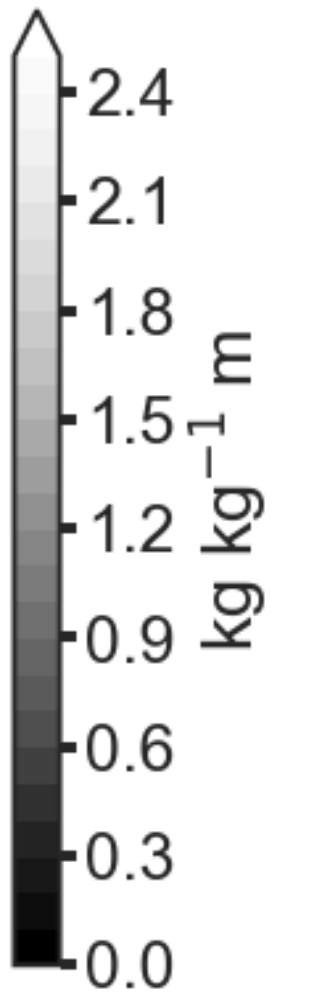
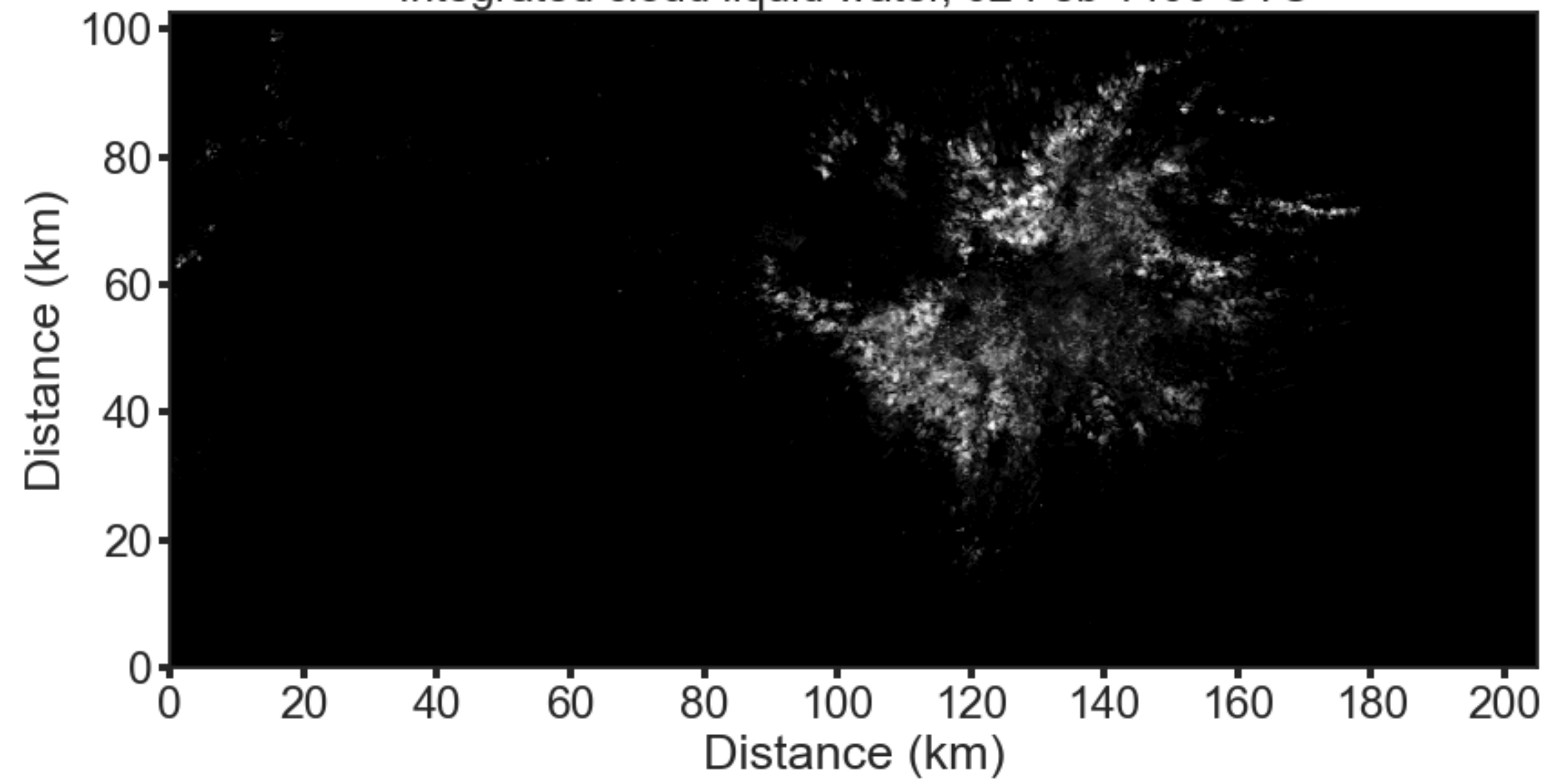


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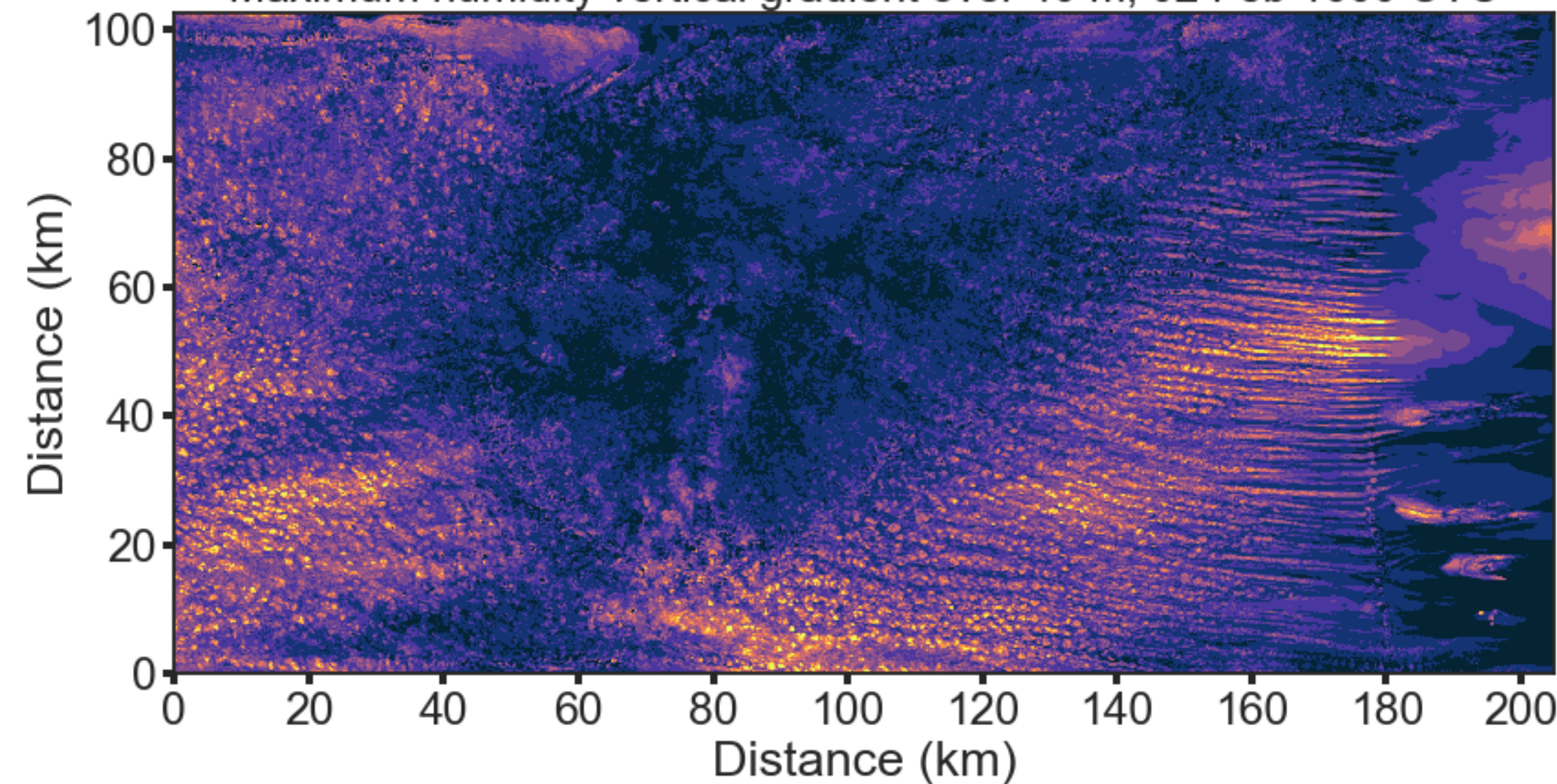
Integrated cloud liquid water, 02 Feb 1600 UTC



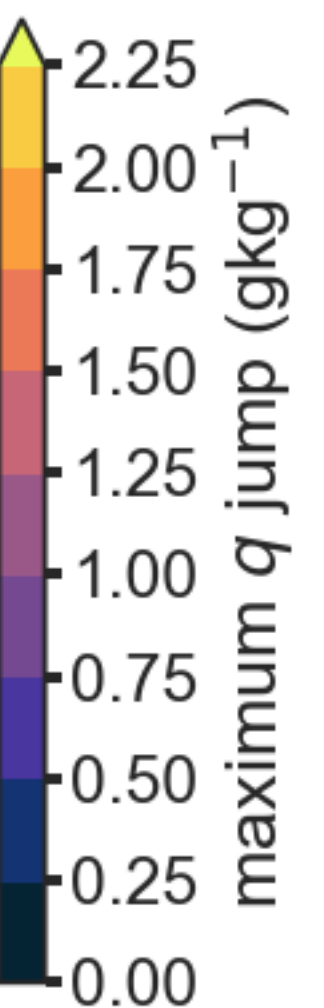
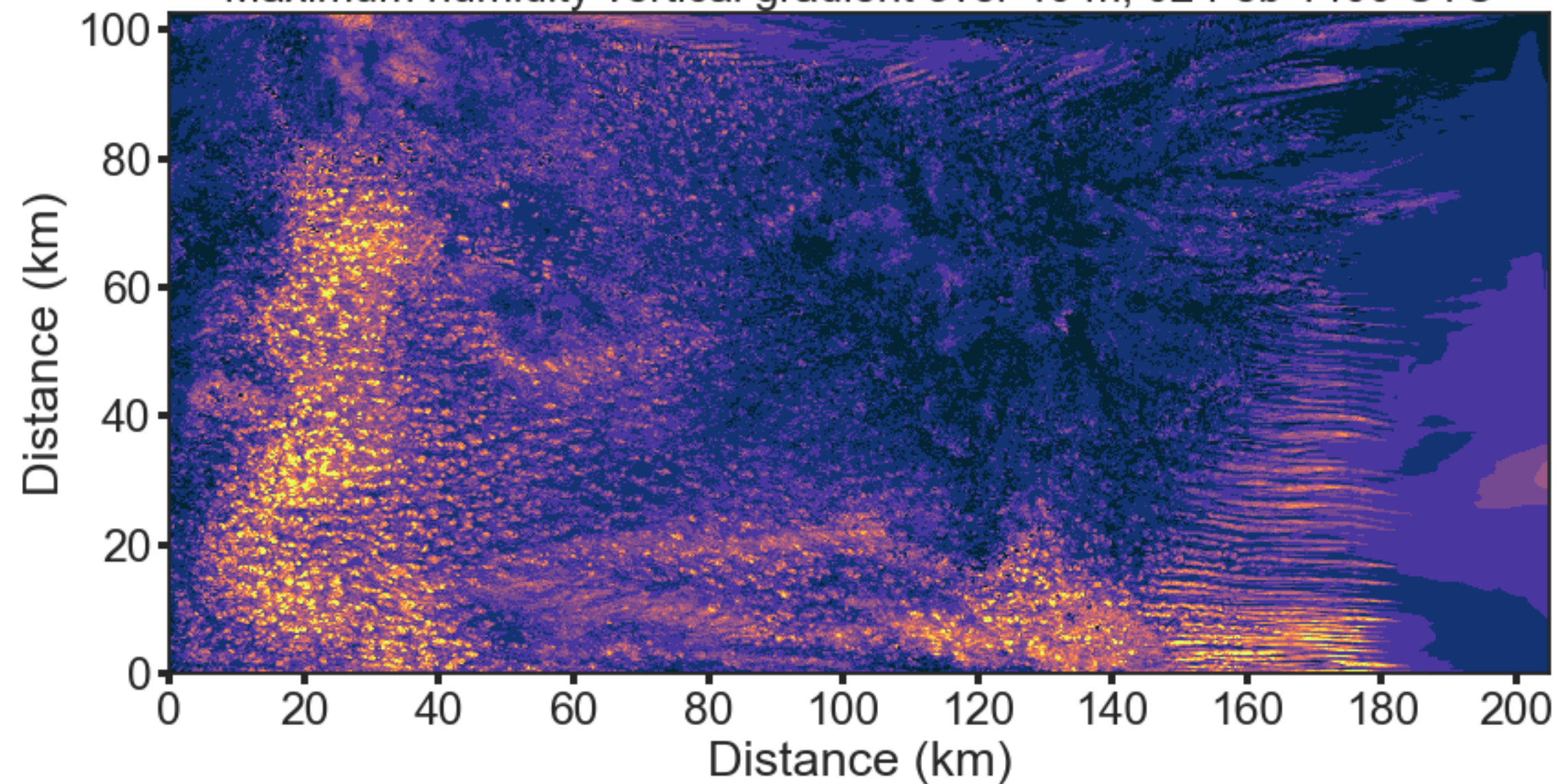
Integrated cloud liquid water, 02 Feb 1400 UTC



Maximum humidity vertical gradient over 40 m, 02 Feb 1600 UTC



Maximum humidity vertical gradient over 40 m, 02 Feb 1400 UTC



1. Is the jump structure found in large clear-sky areas?

**Yes.**

2. Is the presence of shallow clouds sufficient to smooth vertical gradients away from jump structure?

**Yes.**

2\*. No strong association between transition layer vertical gradients and strength of mesoscale subsidence (in obs. and LES)

# Implications of a cloudy transition layer

# Do differences in transition layer structure matter for mixed layer state & surface fluxes?

- Inferences from mixed layer theory and mixing diagrams (not shown, following Paluch, 1979) suggest that the observed transition layer structure does not strongly affect the **rate of entrainment mixing**
- Rather, it influences the **properties** of the air incorporated into the mixed layer, primarily as a **moistening**

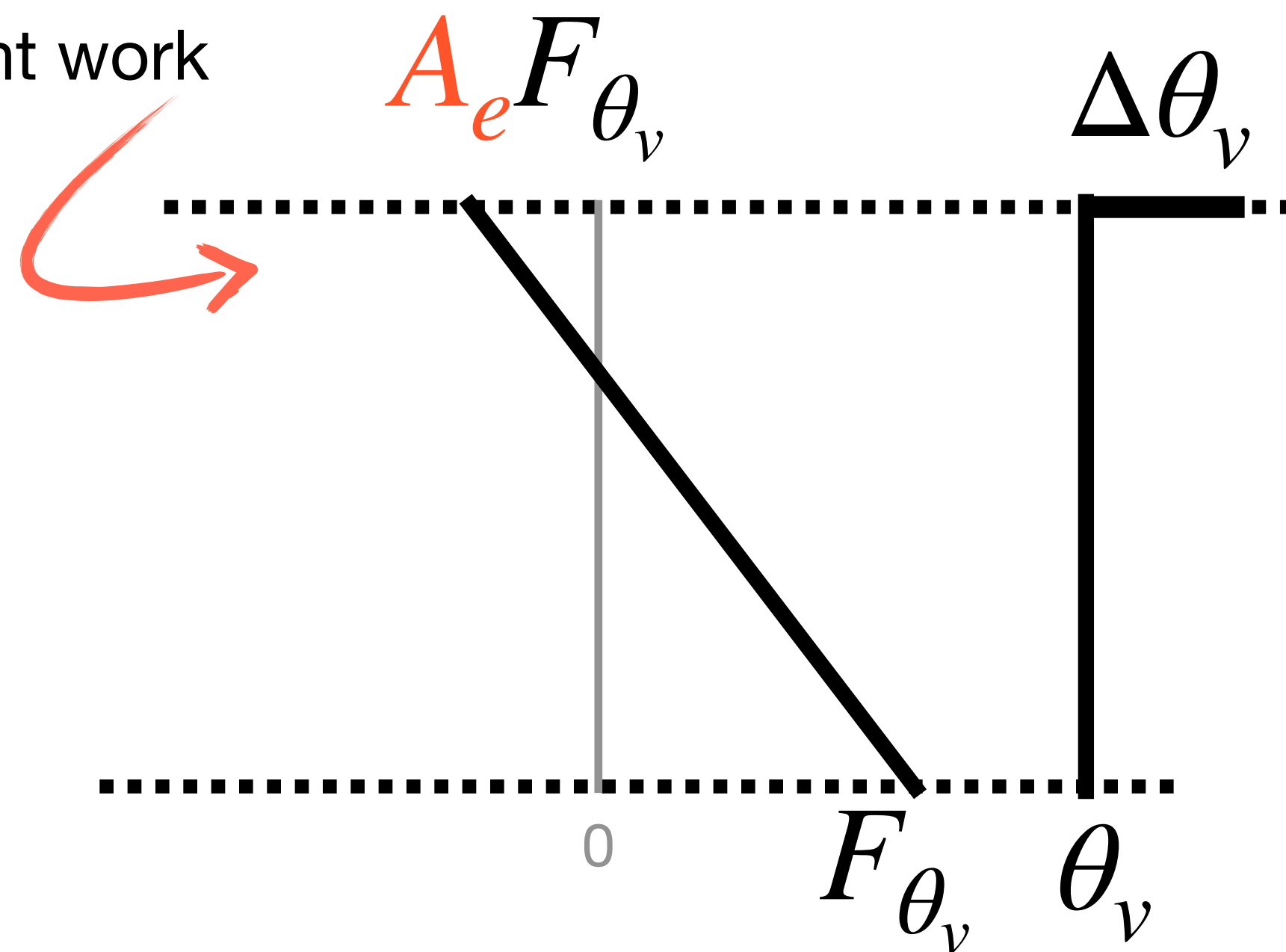
# Contributions to energetics of entrainment mixing

$$E = \frac{dh}{dt} = \frac{A_e F_{\theta_v}}{\Delta_1 \theta_v}$$

Convert turbulence energy to potential energy

e.g., Ball, 1960, Lilly, 1968, Betts, 1973, Tennekes, 1973, Deardorff, 1974, Stull, 1976, Stevens 2006

'Harvesting' some portion of surface turbulence flux to do entrainment work



Surface turbulence flux

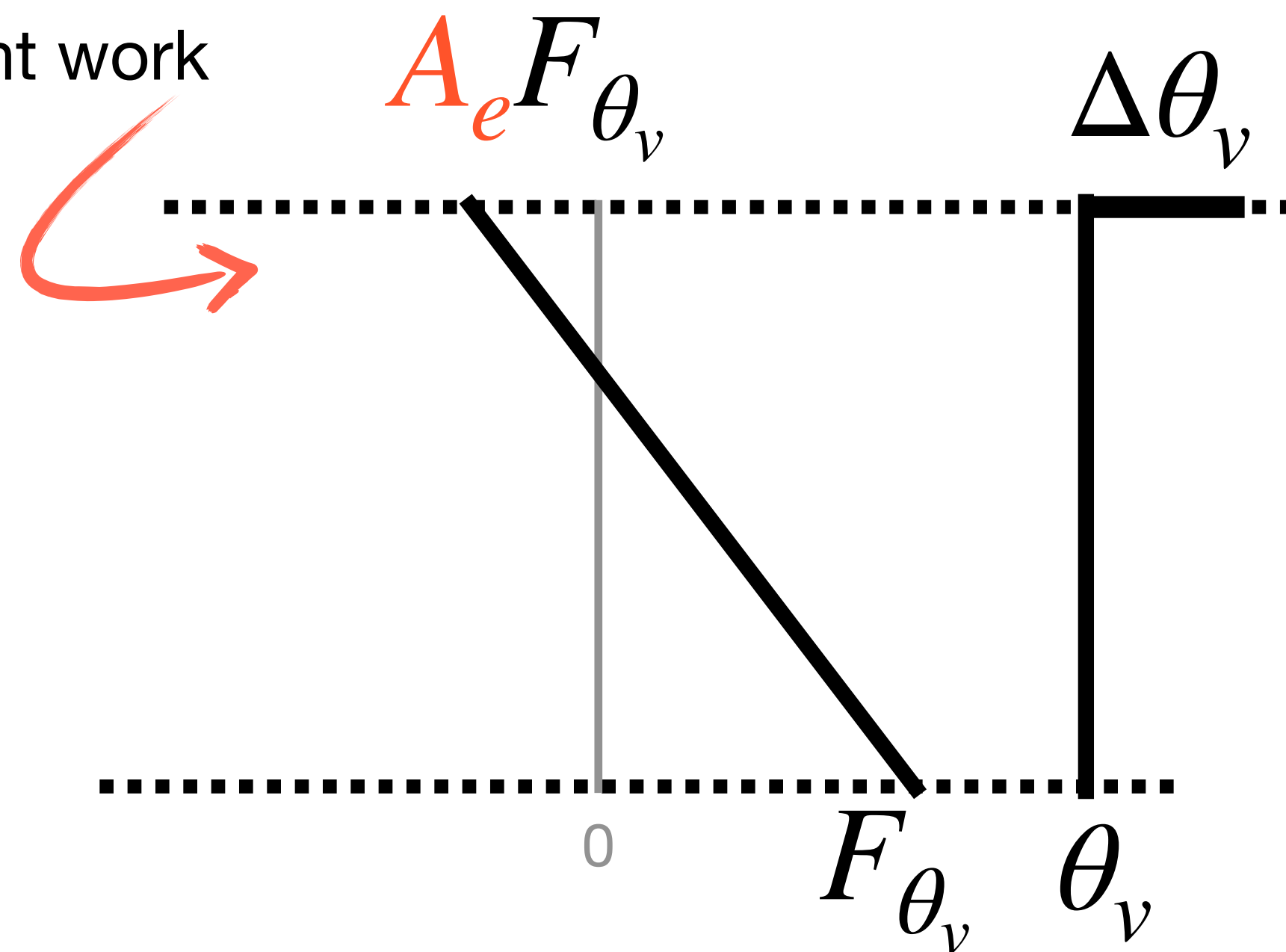
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$A_e$ : entrainment efficiency of surface turbulence source (constant)

$A_e = 0.2? 0.4?$

Surface turbulence flux

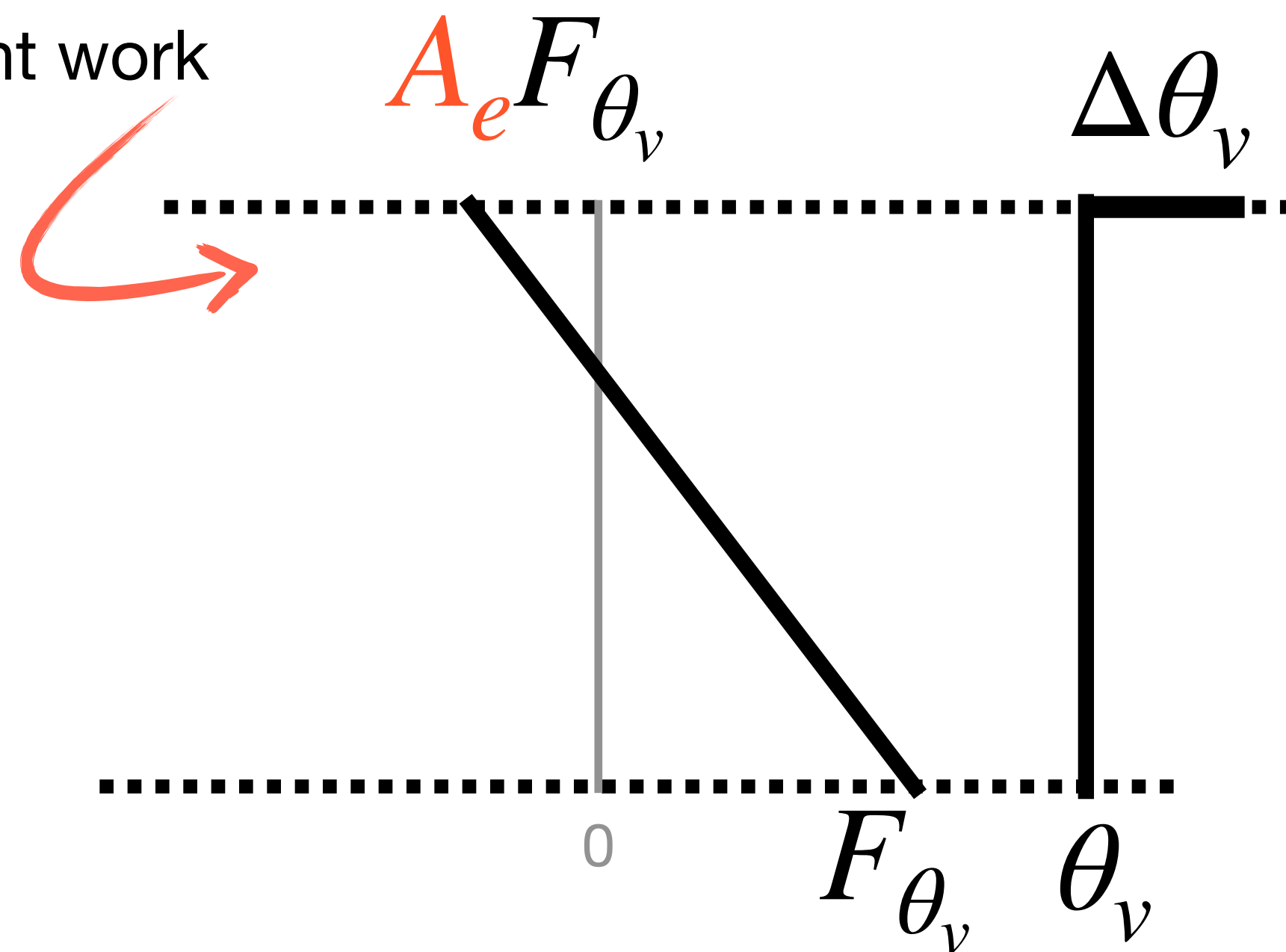
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Surface turbulence flux

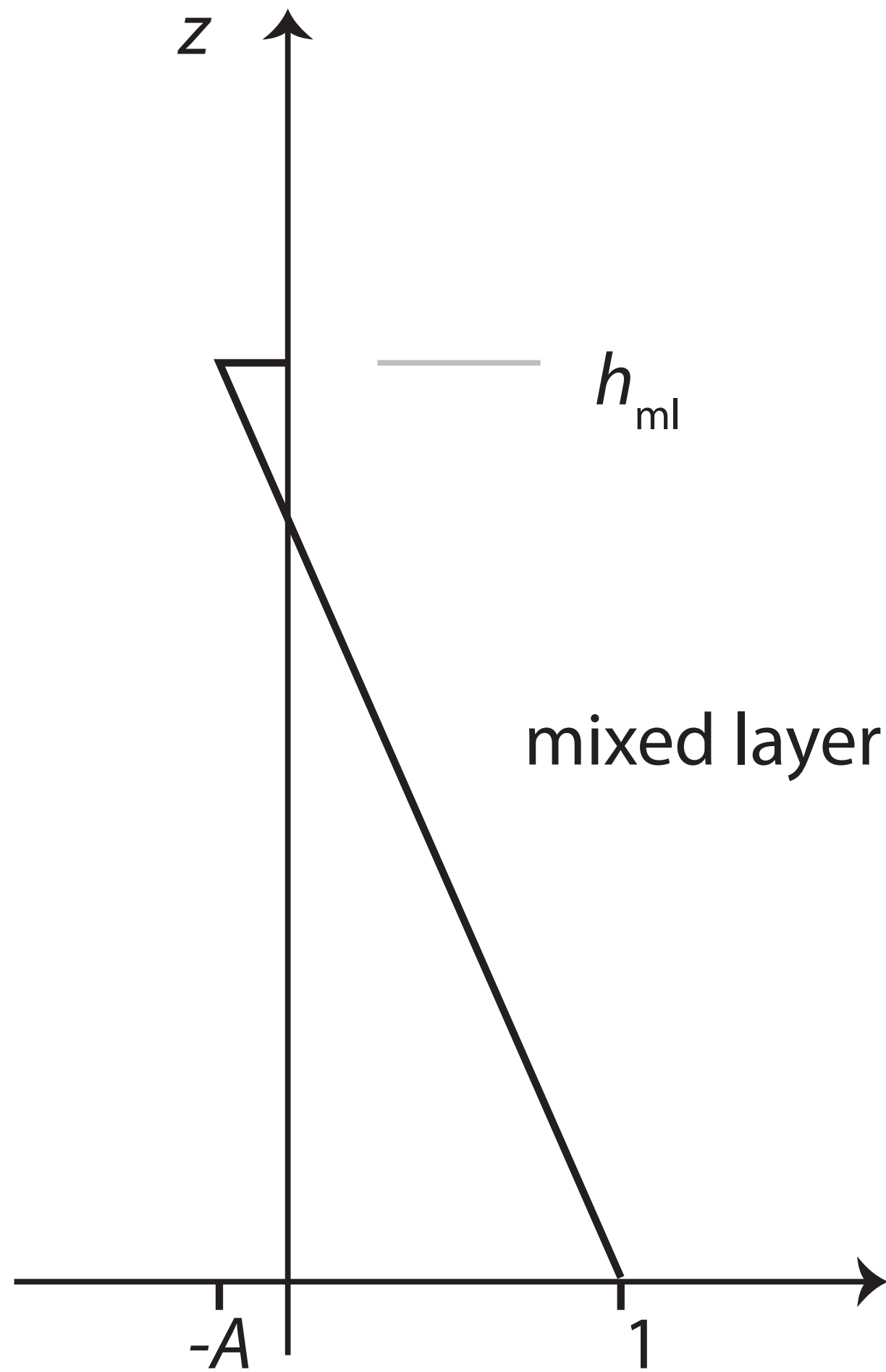
$A_e$ : entrainment efficiency of surface turbulence source (constant)

$$A_e = 0.43^*$$

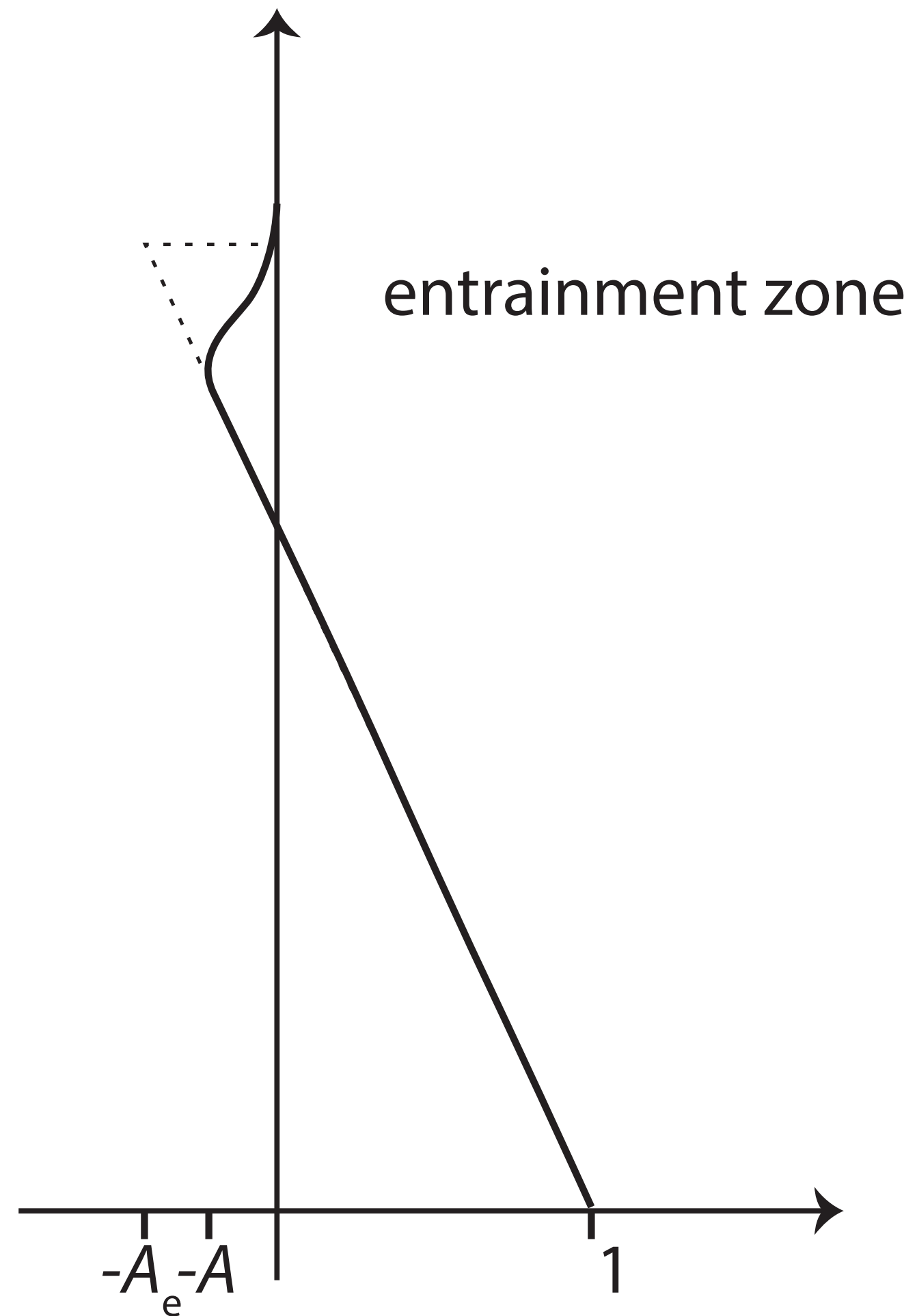
\*Albright, A. L., Bony, S., Stevens, B., & Vogel, R. (2022). Observed subcloud layer moisture and heat budgets in the trades. JAS 2022.

# Cloud liquid water flux contribution to $A_e \sim 0.4$

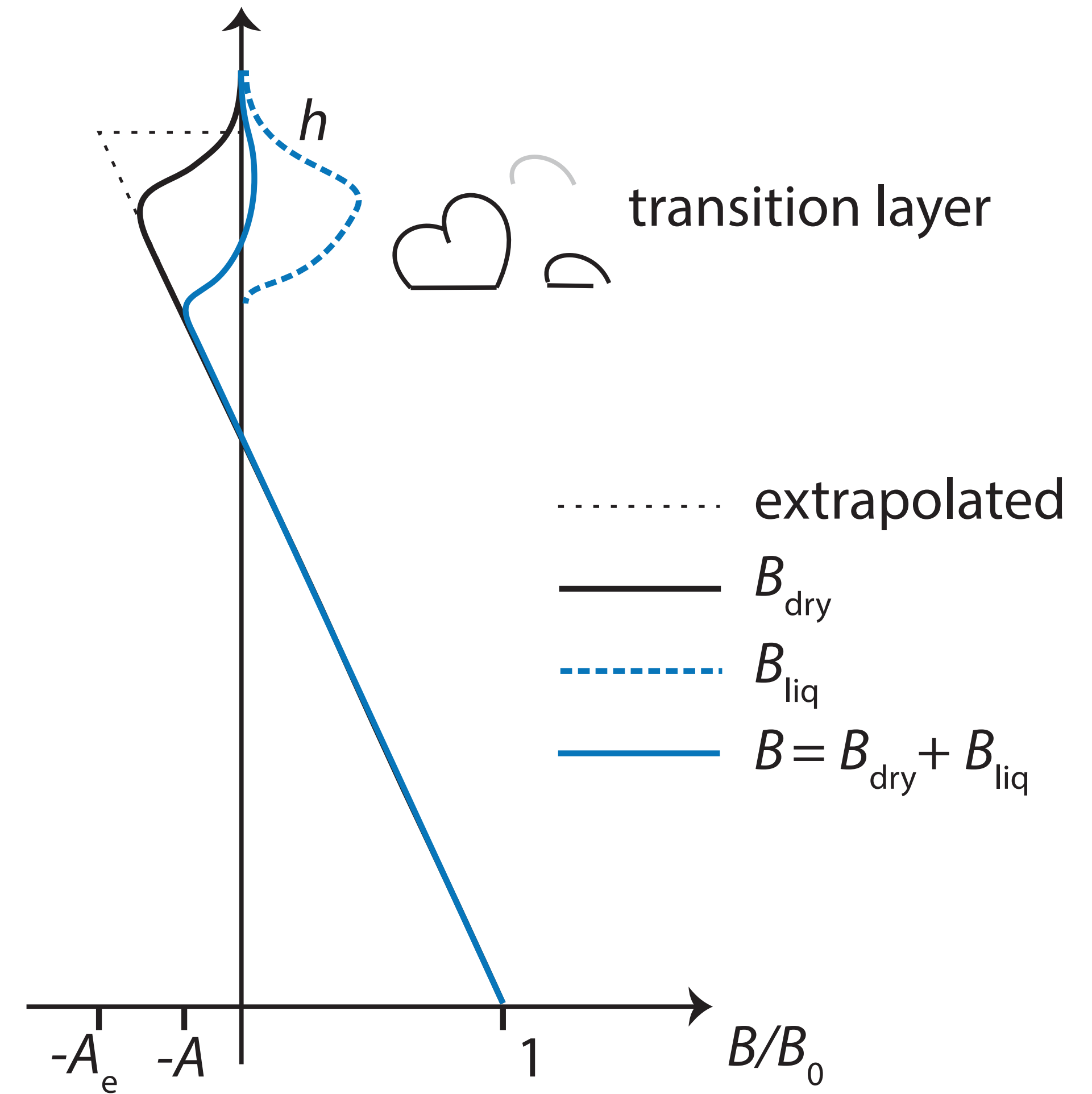
Zero-order model



First-order model  
cf. Garcia, Mellado, 2014

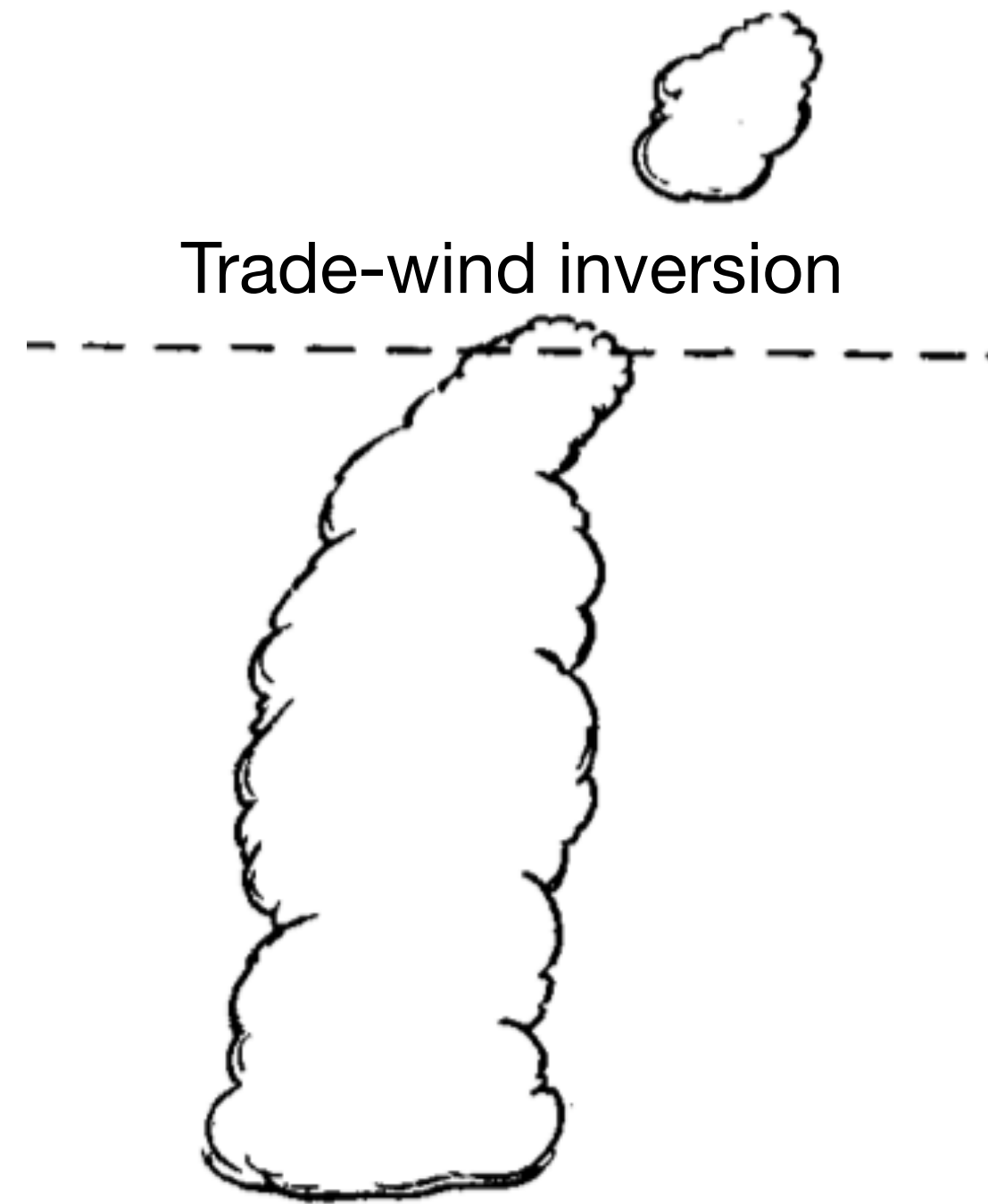


Cloud boost  
cf. Stevens, 2007





# Symmetry between shallow and deeper clouds, each population grows its own layer (Riehl et al., 1951, Stevens 2007, deeper clouds)



Riehl et al, 1951

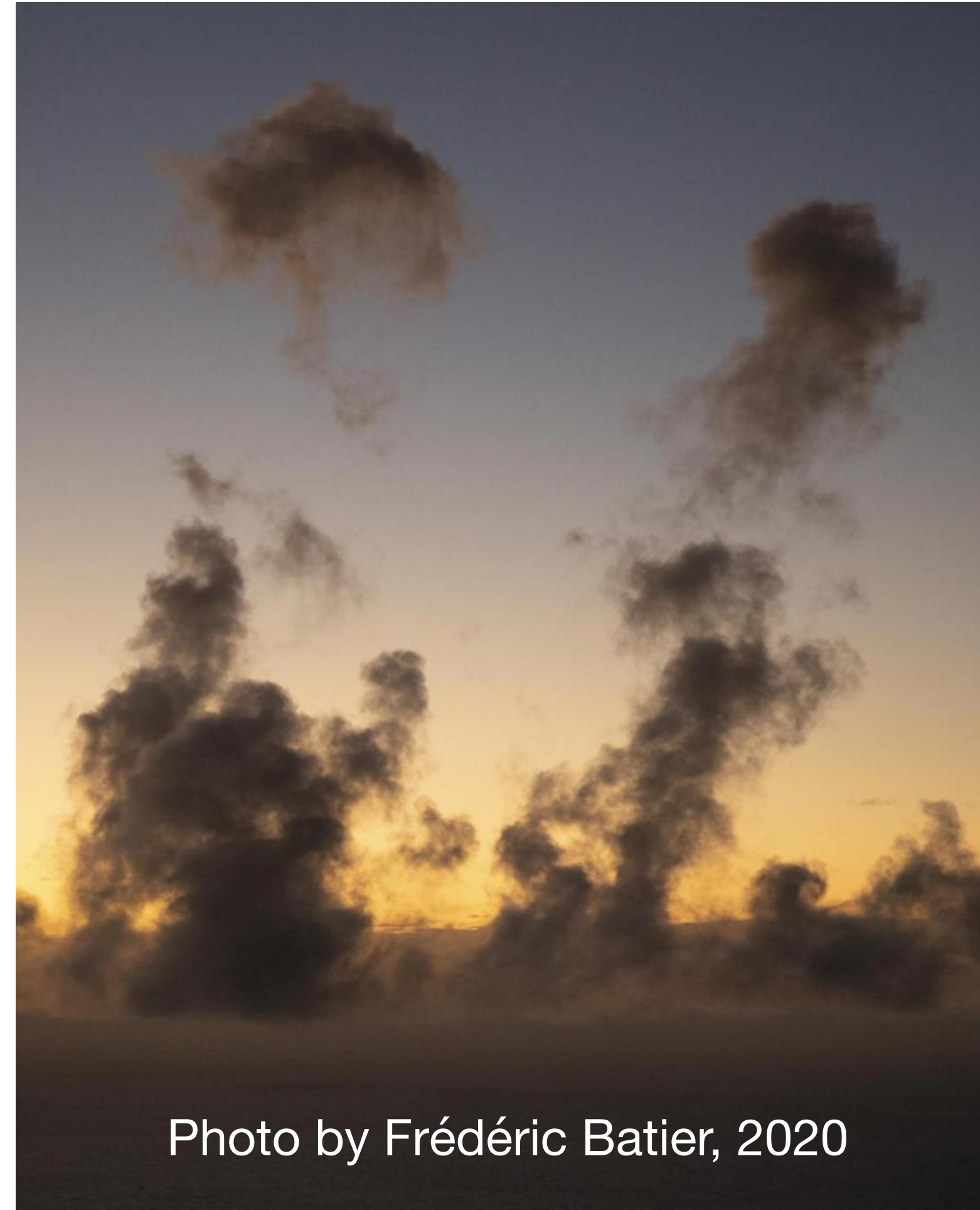


Photo by Frédéric Batier, 2020

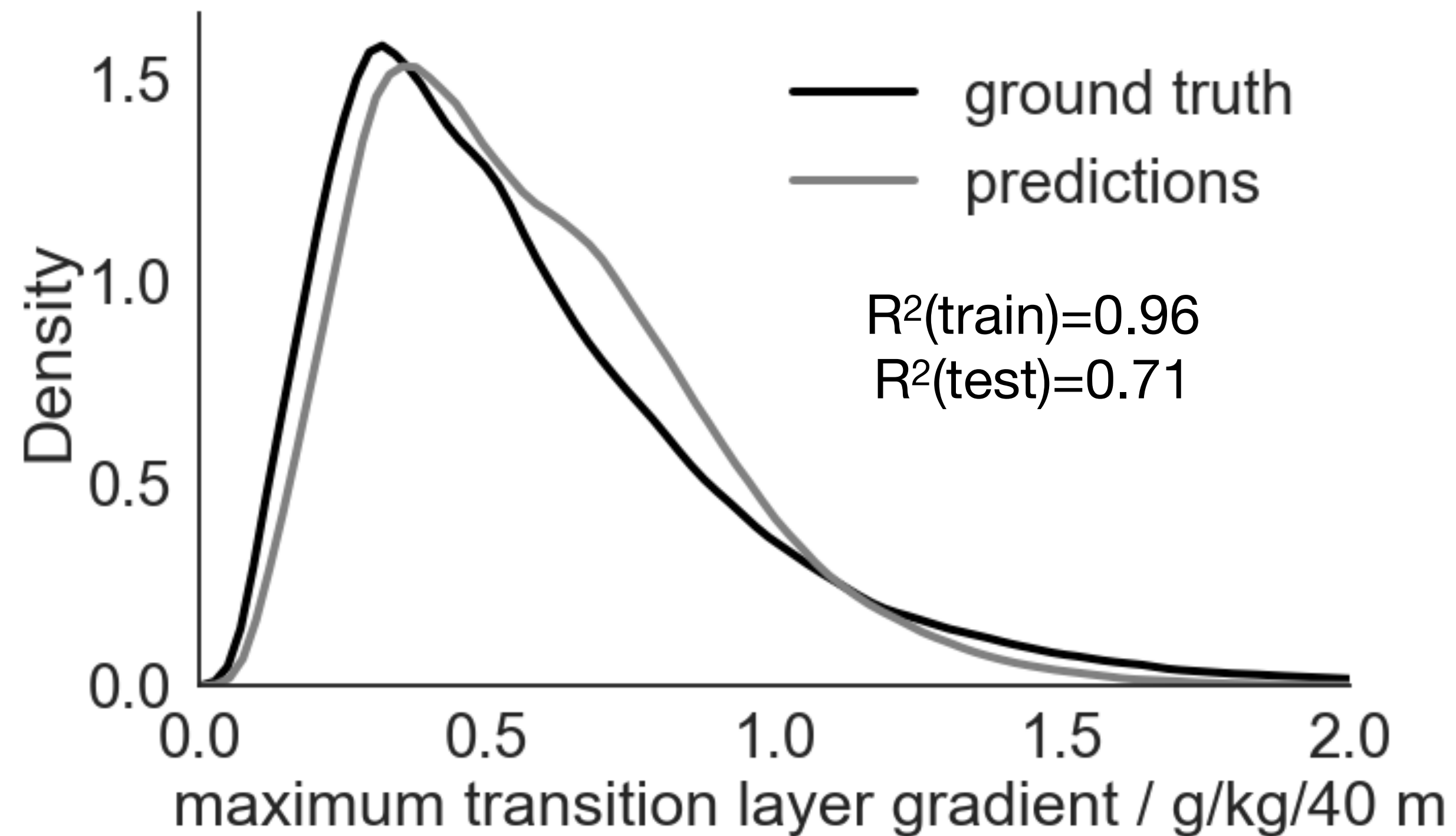
Do small clouds make it easier for larger clouds to form? (e.g., Neggers, 2015)

A short side project

# Can we predict transition layer gradients based upon environmental variables?

- **9 variables considered:** { $q$ ,  $\theta$ , wind speed in mixed layer; vertical velocity at different altitudes; integrated cloud liquid water content; distance to cloud 'center of mass', cloud base height, cloud top height}
- **Random forest** or XGBoost (machine learning) algorithms

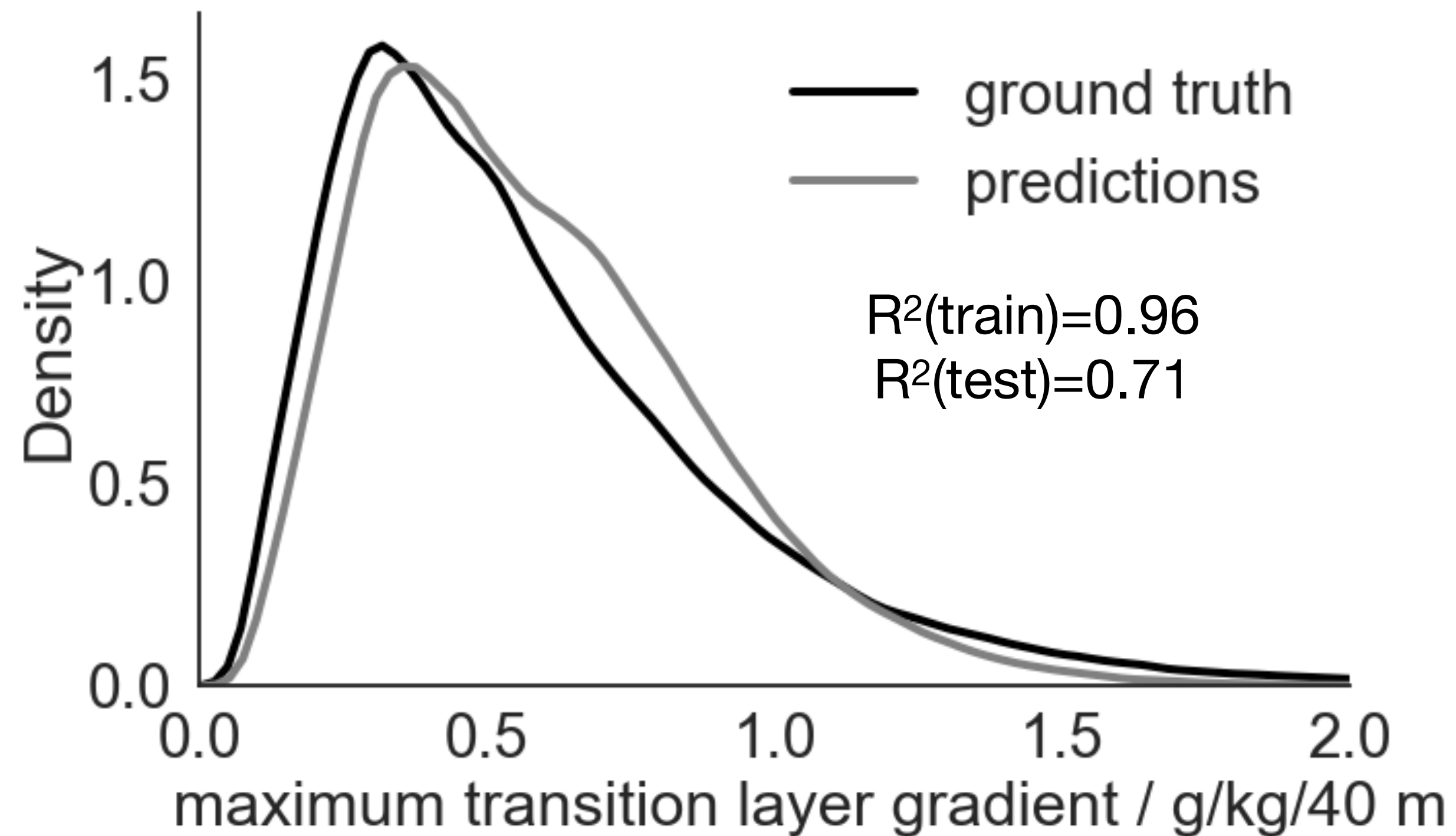
100 m (horizontal); 40 m (vertical) model output



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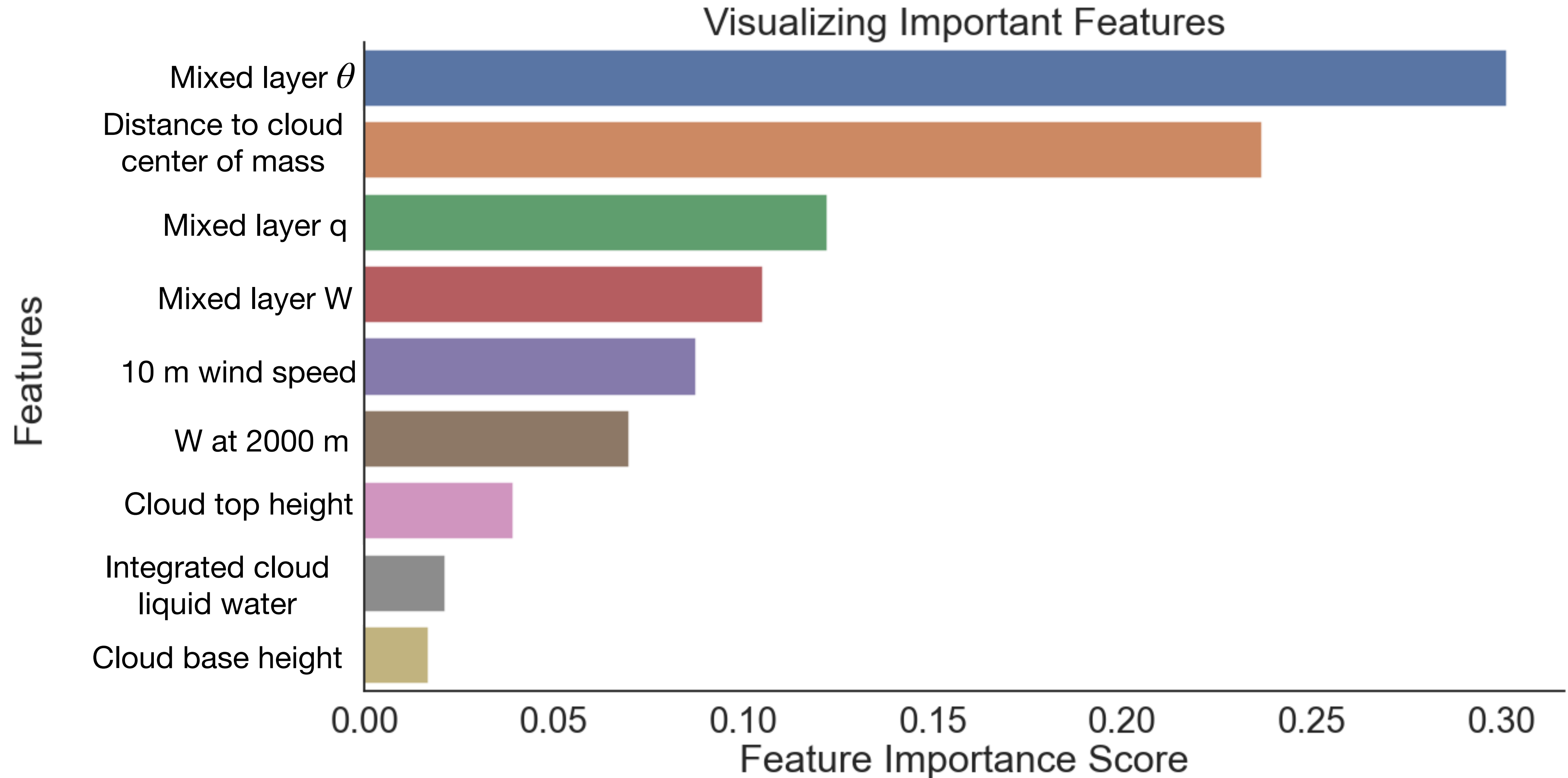
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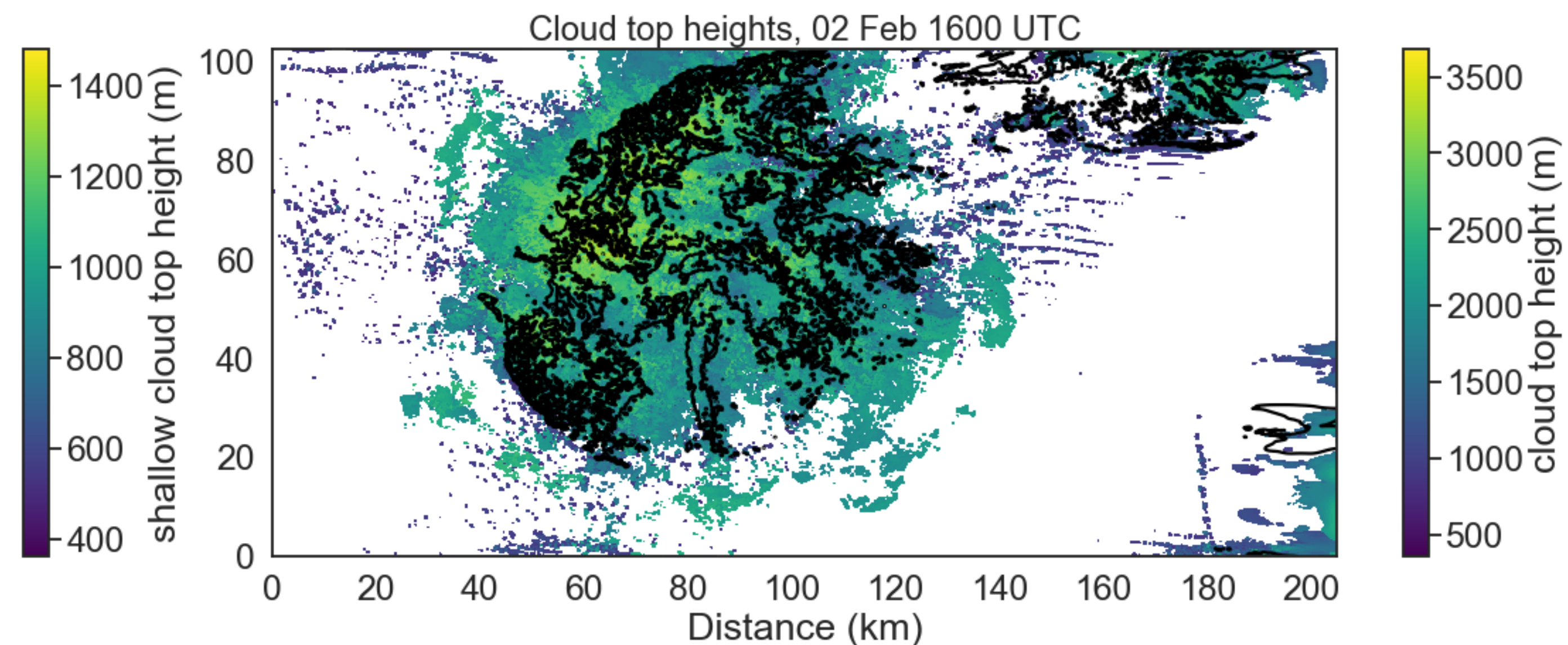
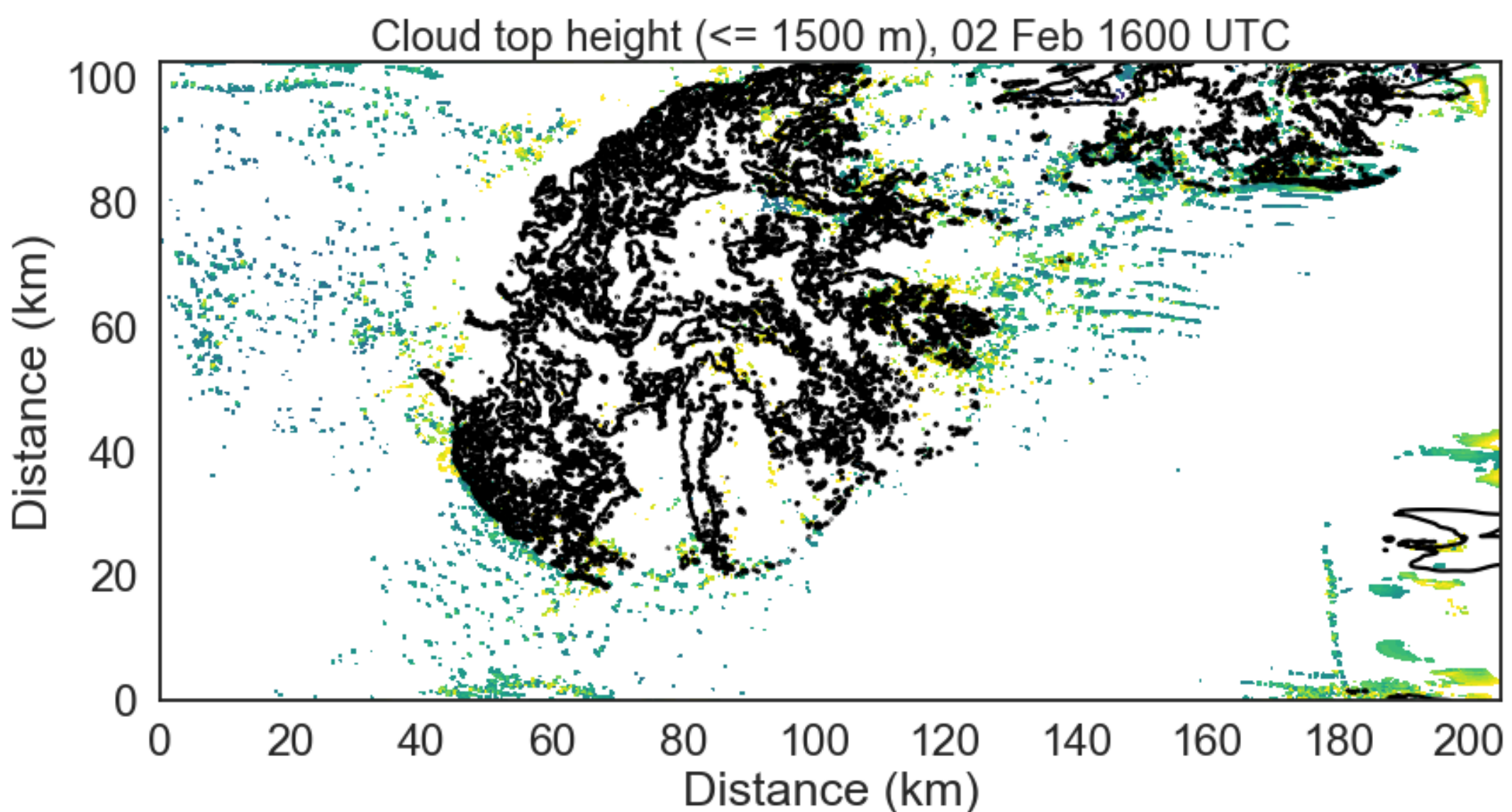
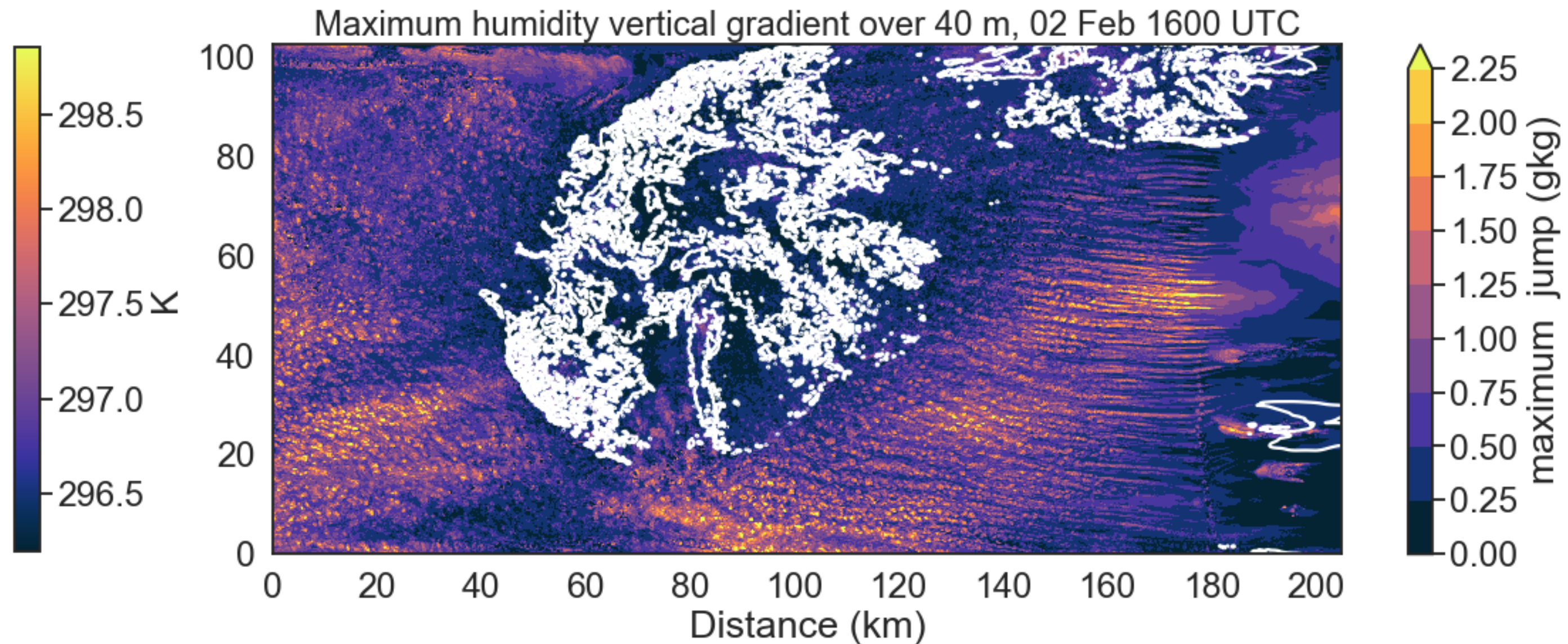
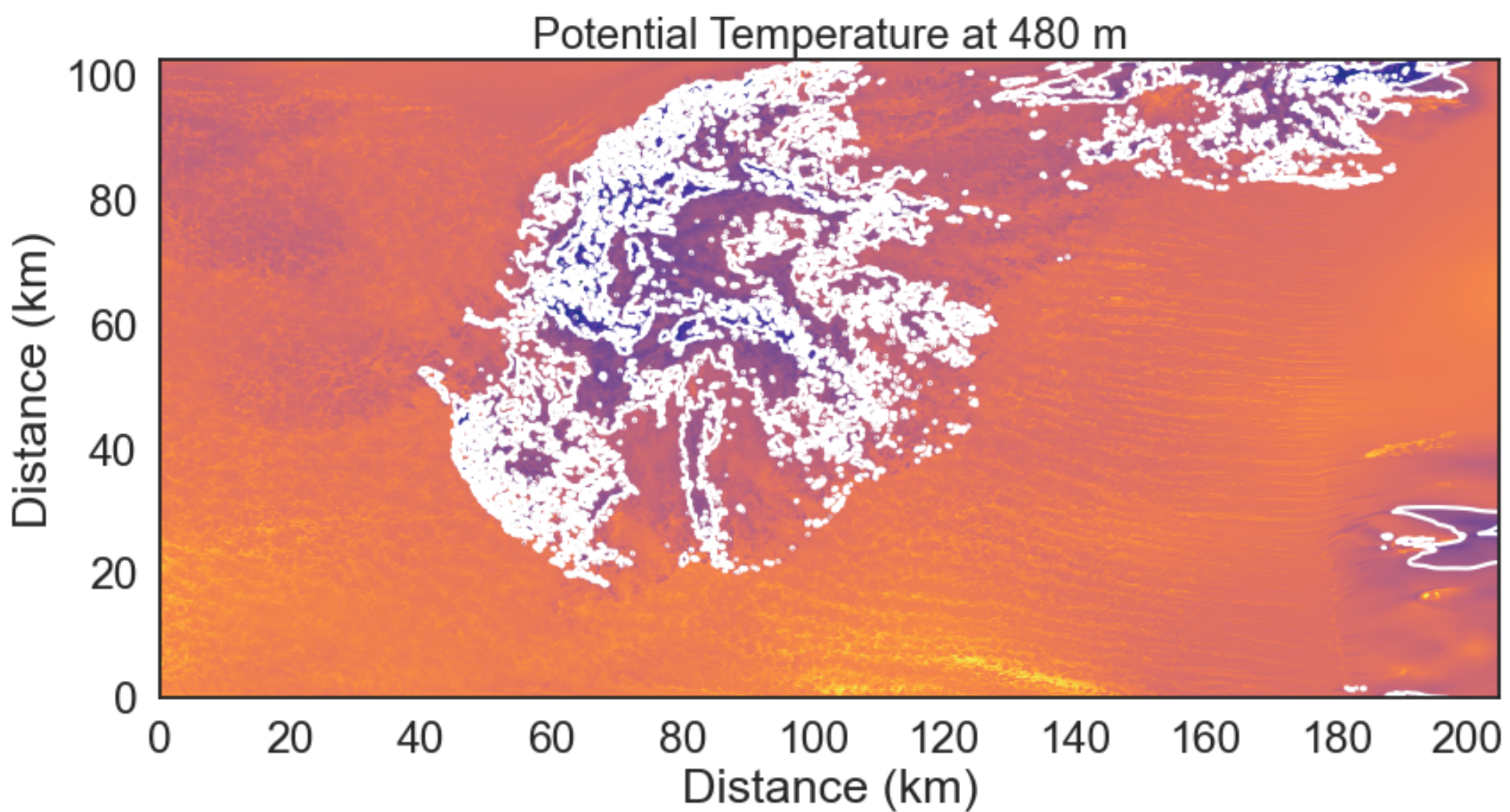


**Preliminary take-away:**  
Algorithm has some predictive skill for maximum transition layer vertical gradient

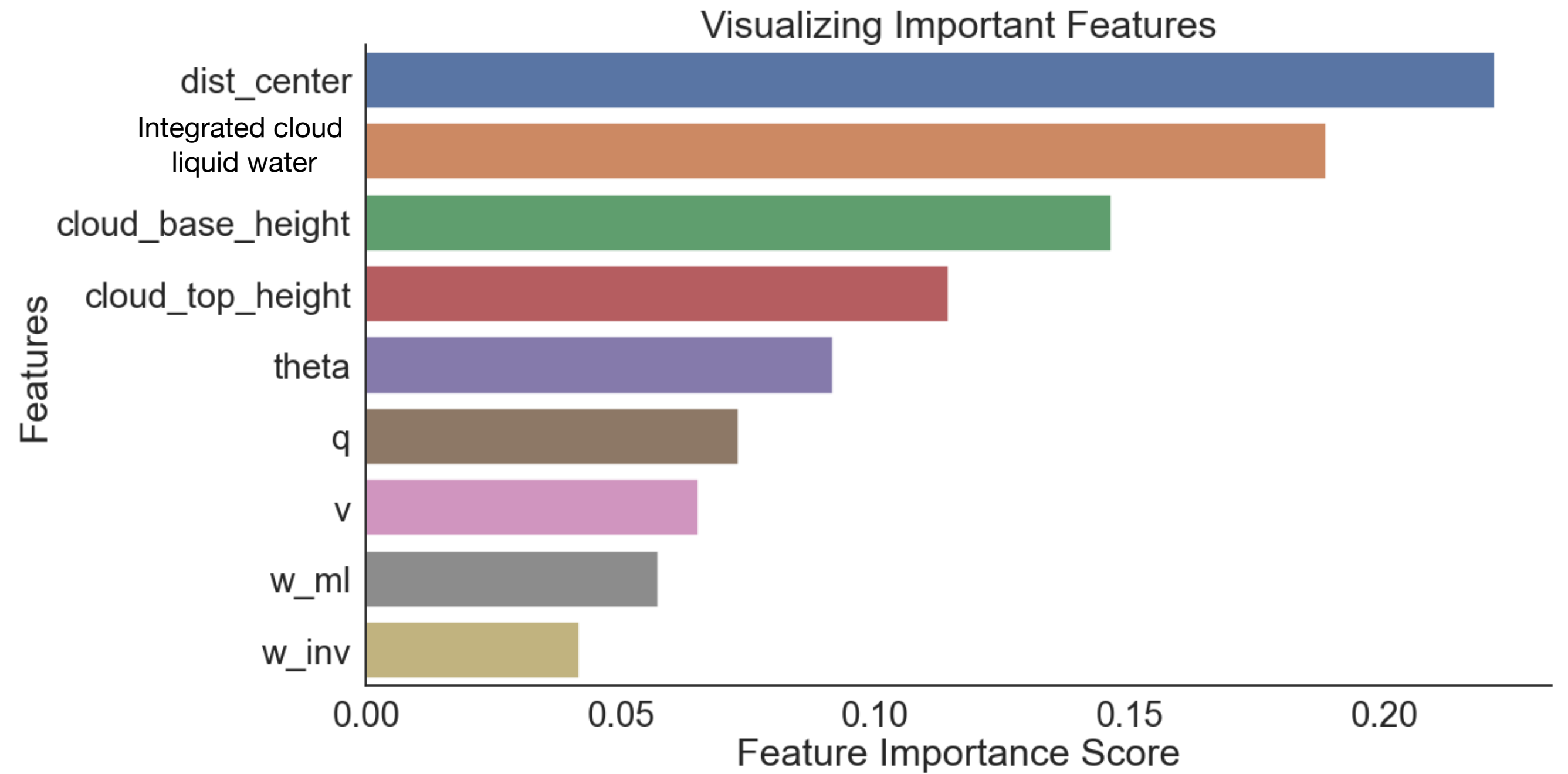
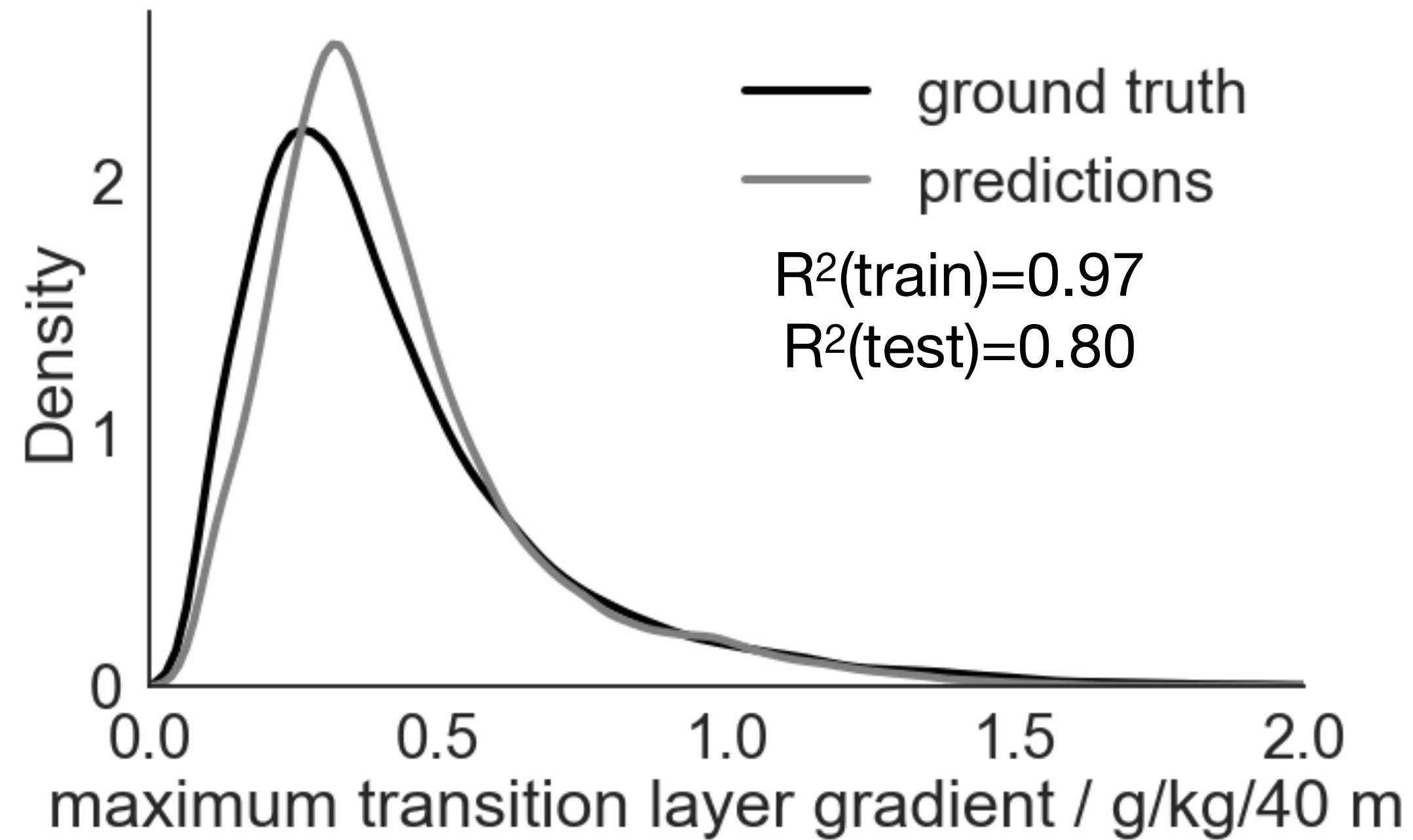
# Most important environmental variables: but, a cold pool imprint rather than a predictive feature?



# Cold pool signature? Regions $\theta \leq 297.5$ in contours



# Skill increases when re-running analysis outside cloud region, and distance to cloud center of mass is most important variable



# Conclusions

1. Majority of cloud bases form within (not *above*) the transition layer. WALES lidar data also support a bimodal cloud top height distribution, with a first population of very shallow clouds (tops generally below 1.3 km) and a second population of deeper clouds (extending to 2–3 km)



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2. Life cycle of this first cloud population maintains the transition layer structure, by smoothing vertical thermodynamic gradients by a condensation-evaporation mechanism

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2. Life cycle of this first cloud population maintains the transition layer structure, by smoothing vertical thermodynamic gradients by a condensation-evaporation mechanism
3. Inferences from mixed layer theory and mixing diagrams suggest that differences in cloud-free and cloudy transition layer structures do not affect the rate of entrainment mixing, but rather the properties of the air incorporated into the mixed layer, primarily as a moistening